#### **VONAGE HOLDINGS CORP**

Form 4 June 15, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

VONAGE HOLDINGS CORP [VG]

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Graham McGonigal

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b). (Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \*

5. Relationship of Reporting Person(s) to

Issuer

(First)

(State)

C/O VONAGE HOLDINGS

CORP., 23 MAIN STREET

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 06/14/2016

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

6. Individual or Joint/Group Filing(Check

below)

Chief Network Officer

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Symbol

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOLMDEL, NJ 07733

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/14/2016		Code V M	Amount 62,500	(D)	Price \$ 2.36	152,023	D	
Common Stock	06/14/2016		S	62,500 (1)	D	\$ 5	89,523	D	
Common Stock	06/14/2016		M	88,494	A	\$ 2.88	178,017	D	
Common Stock	06/14/2016		S	88,494 (1)	D	\$ 5.5	89,523	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 2.36	06/14/2016		M	62,500	(2)	03/01/2022	Common Stock	62,500
Employee Stock Option (Right to Buy)	\$ 2.88	06/14/2016		M	88,494	(3)	04/01/2023	Common Stock	88,494

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Graham McGonigal C/O VONAGE HOLDINGS CORP.

23 MAIN STREET

HOLMDEL, NJ 07733

Chief Network Officer

## **Signatures**

/s/ Randy K. Rutherford, Attorney-in-fact for Graham

06/15/2016 McGonigal

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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Sale was made pursuant to a trading plan under Rule 10b5-1 under the Securities and Exchange Act of 1934, as amended, which was adopted on May 10, 2016 for estate planning and diversification purposes.

- (2) The option, originally representing a right to purchase a total of 250,000 shares, vested in equal annual installments on the first through fourth anniversaries of March 1, 2012.
- (3) The option, originally representing a right to purchase a total of 256,990 shares, vests in equal annual installments on the first through fourth anniversaries of April 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.