

FIRST CITIZENS BANCSHARES INC /DE/

Form 4

February 26, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BRYANT HOPE HOLDING

2. Issuer Name **and** Ticker or Trading
Symbol
FIRST CITIZENS BANCSHARES
INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

4300 SIX FORKS ROAD

(Street)

RALEIGH, NC 27609

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
01/25/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

☐ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)
Vice Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock					272,492	D	
Class A Common Stock					10,772	I	As beneficiary of Trust
Class A Common Stock					18,789	I ⁽¹⁾	As custodian for Hewlette
Class A Common					16,288	I ⁽¹⁾	As custodian for John

Stock				Patrick
Class A Common Stock	16,925	I <u>(1)</u>		As custodian for Elliot
Class A Common Stock	80	I <u>(1)</u>		By Hewlette Collier Connell
Class A Common Stock	80	I <u>(1)</u>		By John Patrick Connell
Class A Common Stock	80	I <u>(1)</u>		By Samuel Hunter Bryant
Class A Common Stock	1,990	I <u>(1)</u>		John Connell as Custodian for Hewlette
Class A Common Stock	4,850	I <u>(1)</u>		John Connell as Custodian for John Patrick
Class A Common Stock	3,604	I <u>(1)</u>		By John Connell as custodian for Elliot Connell
Class A Common Stock	0 <u>(2)</u>	I		By Yadkin Valley Company and subsidiary
Class A Common Stock	827	I <u>(3)</u>		By E&F Properties
Class A Common Stock	12,530	I <u>(3)</u>		By Twin States Farming, Inc.
Class A Common Stock	229,563	I <u>(3)</u>		By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock	100,000	I <u>(3)</u>		By Fidelity BancShares (N.C.), Inc.

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Class B Common Stock	01/25/2016	G	V	120	A	\$ 0	101,073	D	
Class B Common Stock							1,225	I	As beneficiary of Trust
Class B Common Stock	01/25/2016	G	V	120	A	\$ 0	1,871	I ⁽¹⁾	As custodian for Hewlette
Class B Common Stock							6,250	I ⁽¹⁾	By Hewlette Collier Connell
Class B Common Stock	01/25/2016	G	V	120	A	\$ 0	1,871	I ⁽¹⁾	As custodian for John Patrick
Class B Common Stock							5,000	I ⁽¹⁾	By John Patrick Connell
Class B Common Stock	01/25/2016	G	V	120	A	\$ 0	7,070	I ⁽¹⁾	As custodian for Elliot
Class B Common Stock							323	I ⁽¹⁾	John Connell as custodian for Hewlette
Class B Common Stock							323	I ⁽¹⁾	John Connell as custodian for John Patrick
Class B Common Stock							100	I ⁽¹⁾	John Connell as custodian for Elliot
Class B Common Stock							0 ⁽²⁾	I	By Yadkin Valley Company and subsidiary
Class B Common Stock							200	I ⁽³⁾	By E&F Properties, Inc.
Class B Common Stock							1,355	I ⁽³⁾	By Twin States Farming, Inc.
Class B Common							22,619	I ⁽³⁾	By Southern BancShares

Stock

(N.C.), Inc.
and
subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRYANT HOPE HOLDING 4300 SIX FORKS ROAD RALEIGH, NC 27609	X	X	Vice Chairman	

Signatures

Hope Holding Bryant, By: William R. Lathan, Jr.,
Attorney-in-fact

02/26/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the

(1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

(2)

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The Reporting Person's previous reports listed 174,469 shares of Class A Common Stock and 1,900 shares of Class B Common Stock held by Yadkin Valley Company which she may have been considered to beneficially own indirectly as a result of her position as a director of that company, but which indirect ownership she disclaimed. She no longer serves as a director of the company, and those shares will no longer be listed on her reports.

- (3) The reporting person is a director, officer and/or shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.