Nielsen Holdings plc Form 4 November 09, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and West Brian	Address of Repo	orting Person *	2. Issuer Name an	nd Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)  C/O NIELSEN N.V., 85 BROAD STREET			Nielsen Holding 3. Date of Earliest	•	(Check all applicable)  Director 10% OwnerX_ Officer (give title Other (specify below) Chief Operating Officer			
			(Month/Day/Year) 11/05/2015					
	(Street)		4. If Amendment, I	Date Original	6. Individual or Joint/Group Filing(Check			
NEW YOR	RK, NY 10004	4	Filed(Month/Day/Ye	ear)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non	-Derivative Securities Acq	uired, Disposed of, or Beneficially Owned			
1.Title of	2. Transaction	Date 2A Dee	med 3.	4. Securities Acquired	5. Amount of 6. 7. Natur			

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	11/05/2015		M	44,531	A	\$ 16	233,035.61	D		
Common Stock	11/05/2015		S	44,531	D	\$ 47.76 (1)	188,504.61	D		
Common Stock	11/06/2015		M	78,906	A	\$ 16	267,410.61	D		
Common Stock	11/06/2015		S	44,531	D	\$ 48.01 (2)	222,879.61	D		
	11/06/2015		S	34,375	D		188,504.61	D		

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Common	\$
Stock	48.04
	(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of actionDerivative Securities . 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Purchase Common Stock (right to buy)	\$ 16	11/05/2015		M		44,531	<u>(4)</u>	03/21/2017	Common Stock	44,531
Options to Purchase Common Stock (right to buy)	\$ 16	11/06/2015		M		44,531	<u>(4)</u>	03/21/2017	Common Stock	44,531
Options to Purchase Common Stock (right to buy)	\$ 16	11/06/2015		M		34,375	<u>(4)</u>	03/21/2017	Common Stock	34,375

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

West Brian

C/O NIELSEN N.V. 85 BROAD STREET NEW YORK, NY 10004

**Chief Operating Officer** 

## **Signatures**

/s/ Harris Black, Authorized Signatory

11/09/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$47.75 to \$47.78, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$48.00 to \$48.08, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions ranging from \$48.00 to \$48.13, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.
- (4) These options to purchase shares of common stock are currently vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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