## Edgar Filing: UNIVERSAL ELECTRONICS INC - Form 4

| UNIVERSA<br>Form 4<br>August 14, 2  | L ELECTRON                          | ICS INC   | -  |  |                                       |                                       |                    |  |  |          |  |
|---|-------------------------------------|---|--|--|---------------------------------------|---------------------------------------|--------------------|--|--|----------|--|
|   | _                                   |   |  |  |                                       |                                       |                    |  | OMB AF   | PROVAL   |  |
| FORM  | O STATES                            | SECURITIES AND EXCHANGE C<br>Washington, D.C. 20549   |  |  |                                       |                                       | COMMISSION         | OMB<br>Number:   | 3235-0287  |          |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5    | 6.<br>Filed p                       | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 193 |  |  |                                       |                                       |                    |  | Expires: January 31<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |          |  |
| obligation<br>may cont<br><i>See</i> Instru<br>1(b).                        | ns<br>inue. Section 1'              | 7(a) of the   |  | tility Hol                             | ding Con                              | npan                                  | y Act of           | 1935 or Section  | n  |          |  |
| (Print or Type F  | Responses)                          |   |  |  |                                       |                                       |                    |  |  |          |  |
| 1. Name and Address of Reporting Person <u>*</u><br>FIREHAMMER RICHARD A JR |                                     |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>UNIVERSAL ELECTRONICS INC |  |                                       |                                       | -                  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |  |          |  |
| (Last)  | (First)                             | (Middle)  | [UEIC]   | Earliest T                             | ransaction                            |                                       |                    | Director   | 10%  | Owner    |  |
| 201 EAST SANDPOINTE, 8TH<br>FLOOR   |                                     |   | (Month/Day/Year)<br>08/13/2015   |  |                                       |                                       |                    | X_       Officer (give title Other (specify below)         below)       below)         Senior Vice President       |  |          |  |
|   | (Street)                            |   | 4. If Amendment, Date O<br>Filed(Month/Day/Year)                                   |  |                                       | Applicable Line)<br>_X_ Form filed by |                    |  | oint/Group Filing(Check<br>One Reporting Person                                      |          |  |
| SANTA AN  | IA, CA 92707                        |   |  |  |                                       |                                       |                    | Form filed by M<br>Person  | Iore than One Re   | porting  |  |
| (City)  | (State)                             | (Zip)   | Tabl   | e I - Non-I                            | Derivative                            | Secur                                 | ities Acq          | uired, Disposed of   | , or Beneficial  | ly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Da<br>(Month/Day/Yea | r) Executio<br>any  |  | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>or(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or          | d of (D)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                 |          |  |
| Common  | 0040                                |   |  | Code V                                 |                                       |                                       | Price              |  | -  |          |  |
| Stock   | 08/13/2015                          |   |  | М                                      | 716 <u>(1)</u>                        | А                                     | \$0                | 4,449  | D  |          |  |
| Common<br>Stock   | 08/13/2015                          |   |  | F                                      | 220 <u>(2)</u>                        | D                                     | \$<br>48.18<br>(3) | 4,229  | D  |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number<br>onof<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day, | Date               | 7. Title and A<br>Underlying S<br>(Instr. 3 and | Securities                             | 8. Price<br>Derivat<br>Securit<br>(Instr. 5 |
|---|---|---|---|---------------------------------------|--|---|--------------------|---|--|---|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |
| Restricted<br>Stock Unit                            | <u>(4)</u>  | 08/13/2015                              |   | М                                     | 716  | (5)   | (5)                | Common<br>Stock                                 | 716                                    | \$ 0  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                       |       |  |  |  |
|--|---------------|-----------|-----------------------|-------|--|--|--|
| , e e  | Director      | 10% Owner | Officer               | Other |  |  |  |
| FIREHAMMER RICHARD A JR<br>201 EAST SANDPOINTE<br>8TH FLOOR<br>SANTA ANA, CA 92707 |               |           | Senior Vice President |       |  |  |  |
| Signatures   |               |           |                       |       |  |  |  |

| /s/Richard A.   | 08/14/2015 |
|-----------------|------------|
| Firehammer, Jr. | 00/14/2015 |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock issued pursuant to Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (2) This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.
- Price determined in accordance with the Restricted Stock Grant approved by the Compensation Committee of the Board of Directors on February 4, 2013 and ratified by the Board of Directors on February 13-14, 2013.
- (4) Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

Date

(5) These restricted stock units granted on February 13, 2013 vest over a 3 year ratable quarterly vesting period which began on May 13, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.