

CULLEN/FROST BANKERS, INC.

Form 3

August 05, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Alonzo Annette M

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/29/2015

3. Issuer Name and Ticker or Trading Symbol

CULLEN/FROST BANKERS, INC. [CFR]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Group Executive Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

100 WEST HOUSTON STREET

(Street)

SAN ANTONIO, TX 78205

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, \$0.01 par value

8,396

I

Through 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

				Shares		(I) (Instr. 5)	
Employee Stock Options	10/19/2009	10/19/2015	Common Stock	1,000	\$ 50.01	D	Â
Employee Stock Options	10/24/2010	10/24/2016	Common Stock	1,500	\$ 57.88	D	Â
Employee Stock Options	10/22/2011	10/22/2017	Common Stock	500	\$ 48.85	D	Â
Employee Stock Options	10/21/2012	10/21/2018	Common Stock	2,500	\$ 52.44	D	Â
Employee Stock Options	10/20/2013	10/20/2019	Common Stock	3,000	\$ 50.64	D	Â
Employee Stock Options	10/26/2014	10/26/2020	Common Stock	1,500	\$ 52.46	D	Â
Employee Stock Options	Â <u>(1)</u>	10/25/2021	Common Stock	3,000	\$ 48	D	Â
Employee Stock Options	Â <u>(2)</u>	10/23/2022	Common Stock	4,000	\$ 54.56	D	Â
Employee Stock Options	Â <u>(3)</u>	10/29/2023	Common Stock	3,080	\$ 71.39	D	Â
Employee Stock Options	Â <u>(4)</u>	10/28/2024	Common Stock	5,000	\$ 78.92	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Alonzo Annette M 100 WEST HOUSTON STREET SAN ANTONIO, Â TX Â 78205	Â	Â	Â Group Executive Vice President	Â

Signatures

/s/ Annette M.
Alonzo

08/05/2015

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vest 25% per year for four years from grant date of 10-25-2011.

(2) Vest 25% per year for four years from grant date of 10-23-2012.

(3) Vest 25% per year for four years from grant date of 10-29-2013.

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(4) Vest 25% per year for four years from grant date of 10-28-2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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