Triumph Bancorp, Inc. Form 3

November 06, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Triumph Bancorp, Inc. [TBK] Trail Justin N (Month/Day/Year) 11/06/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 12700 PARK CENTRAL DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner _X__ Director _X_ Form filed by One Reporting Officer Other Person DALLAS, TXÂ 75251 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock (1) 687 Â Common Stock 12,859 (2) D Common Stock $4,286 \frac{(3)}{2}$ Ι By spouse 32,144 (4) I Common Stock By JTHT Enterprises, Ltd. Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and (Instr. 4)
2. Date Exercisable and Expiration Date
3. Title and Amount of Securities Underlying Conversion Ownership Beneficial Ownership

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(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of	Form of Derivative	(Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Trail Justin N

12700 PARK CENTRAL DRIVE Â X Â Â

DALLAS, TXÂ 75251

Signatures

/s/Adam D. Nelson Attorney-in-fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Does not include 1,509,067 shares of common stock of issuer that are beneficially owned by Triumph Consolidated Cos., LLC (TCC).
- (1) Reporting person is one of 7 directors of TCC and does not beneficially own 10% or more of TCC's outstanding capital stock. Reporting person disclaims beneficial ownership of the 1,509,067 shares held by TCC.
- (2) These 12,859 shares are beneficially owned through Equity Trust Company Custodian FBO Justin Trail Sep IRA.
- (3) These 4,286 shares of common stock are beneficially owned by the reporting person's spouse, Tamara Trail, through Equity Trust Company Custodian FBO Tamara Trail IRA. Reporting person disclaims beneficial ownership of these shares.
- (4) These 32,144 shares of common stock are beneficially owned through JTHT Enterprises, Ltd. Reporting person exercises voting and dispositive control over these shares and disclaims beneficial ownership of such shares, except to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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