Edgar Filing: SCYNEXIS INC - Form 4

SCYNEXIS INC Form 4 May 08, 2014 FORM 4 Check this box if no longer subject to Subject to Subject to Subject to Subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b.) (Print or Type Response)													
1. Name and Nothias Je	Address of Reporting an-Yves	Person <u>*</u>	Symbol		nd Ticker o C [SCYX		ling	5. Relationsh Issuer	•	Reportin	-	n(s) to	
(M			(Month/	3. Date of Earliest Transaction (Month/Day/Year) 05/07/2014				X_ Director 10% Owner Officer (give title Other (specify below) below)					
				If Amendment, Date Original iled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative	e Secu	rities A	Acquired, Dispose	ed of,	or Bene	eficially	Owned	I
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	any			A. 4. Securities Acquired Cransaction(A) or Disposed of Code (D) Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	SecuritiesOwnershipIndirBeneficiallyForm:OwnOwnedDirect (D)(InstrFollowingor IndirectReported(I)Transaction(s)(Instr. 4)		Owne	ct Benef rship	ïcial
Common Stock	05/07/2014			X	5,251 (1)	A	\$ 0.2	5,251	Ι		By F Biote Fund	echnolo	ogy
Common Stock	05/07/2014			Х	5,251 (1)	А	\$ 0.2	10,502	Ι		By F Biote Fund	echnolo	ogy
Common Stock	05/07/2014			Х	25,998 (1)	А	\$ 0.2	36,500	Ι		By F Biote Fund	echnolo	ogy
Common Stock	05/07/2014			С	46,863 (1)	А	<u>(2)</u>	83,363	Ι		By F Biote	CPR echnolo	ogy

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								Fund
Common Stock	05/07/2014	С	88,796 (1)	А	<u>(3)</u>	172,159	I	By FCPR Biotechnology Fund
Common Stock	05/07/2014	С	42,336 (1)	А	<u>(4)</u>	214,495	Ι	By FCPR Biotechnology Fund
Common Stock	05/07/2014	С	30,582 (1)	А	<u>(5)</u>	245,077	I	By FCPR Biotechnology Fund
Common Stock	05/07/2014	Р	50,000	А	\$ 10	295,077	Ι	By FCPR Biotechnology Fund

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X		5,251	12/07/2011	12/06/2016	Common Stock	5,251 (1)
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		Х		5,251	05/15/2012	05/14/2017	Common Stock	5,251 (1)
Common Stock Warrant (right to	\$ 0.2	05/07/2014		Х		25,998	12/11/2013	12/10/2018	Common Stock	25,998 (1)

buy)								
Series B Preferred Stock	(2)	05/07/2014	С	166,482	(2)	(2)	Common Stock	46,863 <u>(1)</u>
Series C Preferred Stock	<u>(3)</u>	05/07/2014	С	313,996	(3)	(3)	Common Stock	88,796 <u>(1)</u>
Series D-1 Preferred Stock	<u>(4)</u>	05/07/2014	С	863,672	<u>(4)</u>	<u>(4)</u>	Common Stock	42,336 (1)
Series D-2 Preferred Stock	<u>(5)</u>	05/07/2014	С	623,880	(5)	(5)	Common Stock	30,582 (1)

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Nothias Jean-Yves C/O SCYNEXIS, INC., 3501 C TRICENTER BOULEVARD DURHAM, NC 27713	Х						
Signatures							
/s/ Eileen Pruette, by power of attorney	05/						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (2) Upon closing of the Issuer's initial public offering, each share of Series B Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-3.536 basis, and had no expiration date.
- (3) Upon closing of the Issuer's initial public offering, each share of Series C Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-3.536 basis, and had no expiration date.
- (4) Upon closing of the Issuer's initial public offering, each share of Series D-1 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.
- (5) Upon closing of the Issuer's initial public offering, each share of Series D-2 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.