## Edgar Filing: FUEL TECH, INC. - Form 4/A

| FOEL TECH<br>Form 4/A  |                                   |   |                                     |   |      |   |          |         |  |  |   |
|--|-----------------------------------|---|-------------------------------------|---|------|---|----------|---------|--|--|---|
| March 14, 20   | <b> 4</b> UNITE                   | D STATES  |                                     |   |      | ND EXC<br>D.C. 205                                |          | IGE (   | COMMISSION   |  | PPROVAL<br>3235-0287  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 of<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | 6.<br>Filed p<br>Section 1        | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |                                     |   |      |   |          |         | Expires: January 31<br>2009<br>Estimated average<br>burden hours per<br>response 0.5                               |  |   |
| (Print or Type R   | Responses)                        |   |                                     |   |      |   |          |         |  |  |   |
| 1. Name and A<br>ARNONE V  | ddress of Reportin<br>INCENT J    | ng Person <u>*</u>  | 2. Issuer<br>Symbol<br>FUEL T       |   |      | Ticker or T<br>C. [FTEK                           |          | 2       | 5. Relationship of<br>Issuer   | Reporting Pers   |   |
| (Last)<br>27601 BELI   | (First)<br>LA VISTA PA            | (Middle)<br>RKWAY   | 3. Date of<br>(Month/D<br>04/10/20  | ay/Year)                                  |      | nsaction  |          |         | Director<br>X Officer (give<br>below)  | 10%  | o Owner<br>er (specify  |
|  | (Street)                          |   | 4. If Amer<br>Filed(Mon<br>04/12/20 | th/Day/Y                                  |      | e Original  |          |         | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by 0<br>Form filed by M                                  | One Reporting Pe   | erson   |
|  | TLLE, IL 6055                     |   |                                     |   |      |   |          |         | Person   |  | porting   |
| (City)   | (State)                           | (Zip)   | Table                               | e I - Non                                 | 1-De | erivative S                                       | ecurit   | ies Acq | uired, Disposed of   | f, or Beneficial   | ly Owned  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction I<br>(Month/Day/Ye | ear) Execution<br>any   | emed<br>on Date, if<br>'Day/Year)   | 3.<br>Transa<br>Code<br>(Instr. 3<br>Code | 8)   | 4. Securit<br>n(A) or Dis<br>(D)<br>(Instr. 3, 4) | sposed   | of      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock  | 04/10/2013                        |   |                                     | A <u>(1)</u>                              |      | 12,000  | (D)<br>A | \$ 0    | 52,920   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

**Reporting Owners** 

| Edgar Filing: FUEL TECH, INC Form 4/ | EL TECH, INC Form 4/A | Edgar Filing: FUEL |
|--------------------------------------|-----------------------|--------------------|
|--------------------------------------|-----------------------|--------------------|

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |           |       |  |  |  |  |
|--------------------------------|---------------|-----------|-----------|-------|--|--|--|--|
|                                | Director      | 10% Owner | Officer   | Other |  |  |  |  |
| ARNONE VINCENT J               |               |           | Executive | ;     |  |  |  |  |
| 27601 BELLA VISTA PARKWAY      |               |           | Vice      |       |  |  |  |  |
| WARRENVILLE, IL 60555          |               |           | President |       |  |  |  |  |
| Signatures                     |               |           |           |       |  |  |  |  |

**M** 

Vincent J. 03/14/2014 Arnone

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). \*

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This amendment reports the acquisition of restricted stock units, originally reported on Table II, on Table I instead.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.