

PERKS BEN W
Form 3
March 25, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

PERKS BEN W
(Last) (First) (Middle)

C/O AVIV REIT, INC., 303 W. MADISON, SUITE 2400

(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
03/25/2013

3. Issuer Name and Ticker or Trading Symbol
AVIV REIT, INC. [AVIV]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable Date Expiration

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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(Instr. 5)

Stock Option	Â <u>(1)</u>	09/17/2020	Common Stock	7,365	\$ 16.5645	D	Â
Stock Option	Â <u>(2)</u>	09/17/2020	Common Stock	14,730	\$ 16.5645	D	Â
Stock Option	Â <u>(3)</u>	09/30/2020	Common Stock	181	\$ 17.9548	D	Â
Stock Option	Â <u>(2)</u>	09/30/2020	Common Stock	301	\$ 17.9548	D	Â
Stock Option	Â <u>(4)</u>	01/04/2021	Common Stock	181	\$ 18.6222	D	Â
Stock Option	Â <u>(2)</u>	01/04/2021	Common Stock	362	\$ 18.6222	D	Â
Stock Option	Â <u>(5)</u>	10/28/2021	Common Stock	422	\$ 18.8658	D	Â
Stock Option	Â <u>(2)</u>	10/28/2021	Common Stock	845	\$ 18.8658	D	Â
Stock Option	Â <u>(6)</u>	02/10/2022	Common Stock	362	\$ 18.8658	D	Â
Stock Option	Â <u>(2)</u>	02/10/2022	Common Stock	724	\$ 18.8658	D	Â
Stock Option	Â <u>(7)</u>	03/28/2022	Common Stock	603	\$ 18.6543	D	Â
Stock Option	Â <u>(2)</u>	03/28/2022	Common Stock	1,207	\$ 18.6543	D	Â
Stock Option	Â <u>(8)</u>	07/24/2022	Common Stock	543	\$ 18.6505	D	Â
Stock Option	Â <u>(2)</u>	07/24/2022	Common Stock	1,086	\$ 18.6505	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PERKS BEN W C/O AVIV REIT, INC. 303 W. MADISON, SUITE 2400 CHICAGO, IL 60606	Â X	Â	Â	Â

Signatures

/s/ Samuel H. Kovitz, as
attorney-in-fact

03/25/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest in 25% increments on each of the first four anniversaries of September 17, 2010 and shall vest in full upon a liquidity event, including the initial public offering of the common stock of Aviv REIT, Inc. (the "IPO").
- (2) These options vest upon a liquidity event, including the IPO, if certain performance thresholds are achieved.
- (3) These options vest in 25% increments on each of the first four anniversaries of September 30, 2010 and shall vest in full upon a liquidity event, including the IPO.
- (4) These options vest in 25% increments on each of the first four anniversaries of January 4, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (5) These options vest in 25% increments on each of the first four anniversaries of October 28, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (6) These options vest in 25% increments on each of the first four anniversaries of February 10, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (7) These options vest in 25% increments on each of the first four anniversaries of March 28, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (8) These options vest in 25% increments on each of the first four anniversaries of July 24, 2012 and shall vest in full upon a liquidity event, including the IPO.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.