Kovitz Samuel H Form 3 March 20, 2013

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Kovitz Samuel H

(Month/Day/Year)

AVIV REIT, INC. [AVIV]

(Check all applicable)

(Last) (First)

(Middle)

03/20/2013

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O AVIV REIT, INC., 303 W. MADISON, SUITE 2400

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line)

(give title below) (specify below) \_X\_ Form filed by One Reporting EVP, GC and Secretary Person

Form filed by More than One

Reporting Person

CHICAGO, ILÂ 60606

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

Expiration Date

Title

Amount or Number of Shares

Derivative Security

Direct (D) or Indirect (I)

### Edgar Filing: Kovitz Samuel H - Form 3

						(Instr. 5)	
Employee Stock Option	(1)	09/17/2020	Common Stock	125,448	\$ 16.5645	D	Â
Employee Stock Option	(2)	09/17/2020	Common Stock	250,897	\$ 16.5645	D	Â
Employee Stock Option	(3)	09/30/2020	Common Stock	2,777	\$ 17.9548	D	Â
Employee Stock Option	(2)	09/30/2020	Common Stock	5,554	\$ 17.9548	D	Â
Employee Stock Option	(4)	01/04/2021	Common Stock	2,656	\$ 18.6222	D	Â
Employee Stock Option	(2)	01/04/2021	Common Stock	5,312	\$ 18.6222	D	Â
Employee Stock Option	(5)	10/28/2021	Common Stock	6,761	\$ 18.8658	D	Â
Employee Stock Option	(2)	10/28/2021	Common Stock	13,522	\$ 18.8658	D	Â
Employee Stock Option	(6)	02/10/2022	Common Stock	32,901	\$ 18.8658	D	Â
Employee Stock Option	(2)	02/10/2022	Common Stock	65,803	\$ 18.8658	D	Â
Employee Stock Option	(7)	03/28/2022	Common Stock	12,617	\$ 18.6543	D	Â
Employee Stock Option	(2)	03/28/2022	Common Stock	25,234	\$ 18.6543	D	Â
Employee Stock Option	(8)	07/24/2022	Common Stock	10,745	\$ 18.6505	D	Â
Employee Stock Option	(2)	07/24/2022	Common Stock	21,431	\$ 18.6505	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Treporting of their remark remarks	Director	10% Owner	Officer	Other		
Kovitz Samuel H C/O AVIV REIT, INC. 303 W. MADISON, SUITE 2400 CHICAGO Â II Â 60606	Â	Â	EVP, GC and Secretary	Â		

Reporting Owners 2

# **Signatures**

/s/Samuel H Kovitz

03/13/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest in 25% increments on each of the first four anniversaries of September 17, 2010 and shall vest in full upon a liquidity event, including the initial public offering of the common stock of Aviv REIT, Inc. (the "IPO").
- (2) These options vest upon a liquidity event, including the IPO, if certain performance thresholds are achieved.
- (3) These options vest in 25% increments on each of the first four anniversaries of September 30, 2010 and shall vest in full upon a liquidity event, including the IPO.
- (4) These options vest in 25% increments on each of the first four anniversaries of January 4, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (5) These options vest in 25% increments on each of the first four anniversaries of October 28, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (6) These options vest in 25% increments on each of the first four anniversaries of February 10, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (7) These options vest in 25% increments on each of the first four anniversaries of March 28, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (8) These options vest in 25% increments on each of the first four anniversaries of July 24, 2012 and shall vest in full upon a liquidity event, including the IPO.

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#### **Remarks:**

#### Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3