Plavan Matthew T Form 4 June 02, 2011

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB 3235-0287

Number:

Expires:

January 31, 2005

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subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and A Plavan Matt	Address of Report thew T	Symbol	•					5. Relationship of Reporting Person(s) to Issuer					
			THERMOGENESIS CORP [KOOL]					(Check all applicable)					
(Last)	(First)	(Midd	le) 3. Date of	Earliest Tra	ansaction								
			(Month/Da	ay/Year)				Director		Owner			
2711 CITRUS RD.		06/01/20	06/01/2011				X Officer (give title Other (specify below)						
(Street)			4. If Amer	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
		Filed(Mont	Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person					
RANCHO (	CORDOVA, O	CA 957	42					Form filed by I Person	More than One Re	porting			
(City)	(State)	(Zip	) Table	I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned			
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution str. 3) Execution any		xecution Date, if	n Date, if Transaction Code Day/Year) (Instr. 8)		sposed and 5 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock (1)	06/01/2011			Code V  A	Amount 30,000 (2)	(D)	Price \$ 2.25 (3)	43,750 (4)	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Plavan Matthew T - Form 4

	2.	3. Transaction Date		4.	5.	6. Date Exerc Expiration D		7. Titl		8. Price of	9. Nu Deriv
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) e s		Amou Under Securi (Instr.	, ,	Derivative Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Plavan Matthew T 2711 CITRUS RD. RANCHO CORDOVA, CA 95742

CFO & EVP Business Development

## **Signatures**

/s/ Matthew T. 06/02/2011 Playan

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Board of Directors approved this grant of Restricted Stock for the reporting person on April 29, 2011, pursuant to terms of an Employment Agreement dated as of June 1, 2011 between the Issuer and the Reporting Person.
- (2) These shares vest in three equal installments on June 1, 2012, 2013 and 2014.
- (3) Represents the fair market value on a per share basis as of June 1, 2011. No purchase price was paid for this grant of restricted stock.
- (4) Adjusted to reflect a one-for-four reverse stock split of common stock of ThermoGenesis Corp., effective close of business August 26,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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