Truett-Hurst, Inc. Form 4/A March 14, 2014

#### FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

section 16.

SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Dolan Paul E III			2. Issuer Name a Symbol Truett-Hurst, In	nd Ticker or Trading nc. [THST]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest	Transaction	(6.10.	on an approacto)	
			(Month/Day/Year)	)	Director	10% Owner	
190 SPUR RIDGE CT			03/13/2014		_X_ Officer (give below)	e title Other (specify below)	
						Director	
	(Street)		4. If Amendment,	Date Original	6. Individual or Jo	oint/Group Filing(Check	
			Filed(Month/Day/Y	ear)	Applicable Line)		
			03/14/2014			One Reporting Person	
HEALDSBURG, CA 95448					Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Nor	n-Derivative Securities Acq	quired, Disposed o	f, or Beneficially Owned	
1.Title of	2. Transaction l	Date 2A. Dee	med 3.	4. Securities Acquired	5. Amount of	6. Ownership 7. Nature	

(City)	(State)	Zip) Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	03/13/2014		S	60,000	D	\$ 4.75	34,000	I	By the Dolan 2003 Family Trust u/t/d dated June 5, 2003

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day	6. Date Exercisable and Expiration Date Underlying Se (Month/Day/Year)  7. Title and A Underlying Se (Instr. 3 and 4		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
LLC Units of H.D.D. LLC	(1)	03/13/2014		M	60,000	<u>(1)</u>	<u>(1)</u>	Class A Common Stock of Truett-Hurst,	60,000

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Dolan Paul E III						
190 SPUR RIDGE CT			Director			

190 SPUR RIDGE CT HEALDSBURG, CA 95448

### **Signatures**

/s/ Paul Dolan 03/14/2014

\*\*Signature of Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the terms of an exchange agreement, LLC Units of H.D.D. LLC are exchangeable for shares of Class A common stock of Truett-Hurst, Inc. on a one-for-one basis, subject to customary conversion rate adjustments for stock splits, stock dividends and reclassifications, or chase at the election of Truett-Hurst, Inc. The rights to convert LLC Units into Class A common stock do not expire.

#### **Remarks:**

This amended Form 4 is being filed solely to report the correct sale price of the Class A common stock from \$5.81 to \$4.75. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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