

BALLARD GREGORY L
Form 4
September 22, 2009

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BALLARD GREGORY L

(Last) (First) (Middle)

C/O GLU MOBILE INC., 2207
BRIDGEPOINTE PARKWAY,
SUITE 250

(Street)

SAN MATEO, CA 94404

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction
(Month/Day/Year)
09/18/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	09/18/2009		M	60,000	A \$ 0.75	60,000	D
Common Stock	09/18/2009		S ⁽¹⁾	60,000	D \$ 1.134	0	D
Common Stock						310,586	I Held in trust ⁽³⁾
Common Stock						75,000	I Held in trust ⁽⁴⁾
						26,688	I

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- Represents a weighted average price, as this transaction was executed in multiple trades at prices ranging from \$1.13 to \$1.16. The
- (2) reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.
 - (3) These shares are held by L. Gregory Ballard and Lucy H. Ballard Revocable Trust U/A/D October 26, 1996, of which Mr. Ballard is a Co-Trustee.
 - (4) These shares are held in the L. Gregory Ballard & Lucy H. Ballard Trustees for the Ballard Family GRAT.
 - (5) These shares are held in custodial accounts for Mr. Ballard's minor children.
 - (6) This option was immediately exercisable and vested monthly over a four-year period.
- This option is scheduled to expire during a closed trading window under Glu Mobile Inc.'s Insider Trading Policy. In order to ensure that this in-the-money option does not expire unexercised, Mr. Ballard entered into a Rule 10b5-1 trading plan during the most recent open trading window in August 2009 in order to facilitate the sale of 140,000 of the 166,666 shares subject to this option. Mr. Ballard expects to exercise and sell the remaining 80,000 shares covered by his Rule 10b5-1 trading plan during October 2009.
- (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.