#### CLAY ROBERT N

Form 4

January 06, 2009

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **CLAY ROBERT N** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

PNC FINANCIAL SERVICES

(Check all applicable)

GROUP INC [PNC]

3. Date of Earliest Transaction (Month/Day/Year)

01/02/2009

X\_ Director 10% Owner Officer (give title Other (specify

6. Individual or Joint/Group Filing(Check

D

CLAY HOLDING COMPANY THREE CHIMNEYS FARM, P.O. **BOX 114** 

(Street)

(First)

(Middle)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(A)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**MIDWAY, KY 40347** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4)

Transaction(s)

(Instr. 3 and 4) (D) Price

\$5 Par

 $A^{(1)}$ Common 01/02/2009 103 Α \$0 687

Stock

\$5 Par By CNB Common 3,653 I Investments, LLC (2) Stock

Amount

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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## displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		onof		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivati Security (Instr. 5)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	(3)	04/24/2008		J <u>(4)</u>	V	85		<u>(5)</u>	<u>(5)</u>	\$5 Par Common Stock	85	\$ 65.9
Phantom Stock Unit	(3)	07/24/2008		J <u>(4)</u>	V	95		<u>(5)</u>	<u>(5)</u>	\$5 Par Common Stock	95	\$ 69.9
Phantom Stock Unit	(3)	10/24/2008		J(4)	V	119		<u>(5)</u>	<u>(5)</u>	\$5 Par Common Stock	56.25	\$ 119
Phantom Stock Unit	(3)	04/24/2008		J <u>(6)</u>	V	122		<u>(5)</u>	<u>(5)</u>	\$5 Par Common Stock	122	\$ 65.9
Phantom Stock Unit	(3)	07/24/2008		J <u>(6)</u>	V	117		<u>(5)</u>	<u>(5)</u>	\$5 Par Common Stock	117	\$ 69.9
Phantom Stock Unit	(3)	10/24/2008		J <u>(6)</u>	V	147		<u>(5)</u>	<u>(5)</u>	\$5 Par Common Stock	147	\$ 56.2

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Fr. 1 & Fr. 1 and	Director	10% Owner	Officer	Other			
CLAY ROBERT N CLAY HOLDING COMPANY THREE CHIMNEYS FARM P.O. BOX 114 MIDWAY, KY 40347	X						

Reporting Owners 2

Date

## **Signatures**

Lori A. Hasselman, Attorney-in-Fact for Robert N. Clay

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annual grant pursuant to PNC Directors Share Incentive Plan.
  - The reporting person first assumed investment control of the securities held by this LLC upon his father's death on 8/21/2002. The
- (2) reporting person disclaims ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of for any other purposes.
- (3) One phantom stock unit is the economic equivalent of one share of PNC Common Stock.
- (4) Phantom Stock Units received as dividend equivalents under the PNC Outside Directors Deferred Stock Unit Plan.
- (5) Phantom Stock Units will be settled in cash upon distribution from the reporting person's plan account and generally do not expire.
- (6) Phantom Stock Units received as dividend equivalents under the PNC Directors Deferred Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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