## Edgar Filing: MERRILL LYNCH INVESTMENT MANAGERS LP - Form 4

MERRILL LYNCH INVESTMENT MANAGERS LP Form 4 January 15, 2008 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MERRILL LYNCH & CO INC Issuer Symbol BlackRock Inc. [BLK] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Х \_ Other (specify Officer (give title **4 WORLD FINANCIAL CENTER,** 10/05/2006 below) below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting NEW YORK, NY 10080 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial anv (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 52,395,082 Common  $100^{(1)}$ 03/07/2007 S D \$157.2 D (2) (3) Stock Common 300 (1) \$ 52,394,782 D 03/15/2007 S D (2) 155.73 Stock (3) Common 300 (1) 52,395,082 \$ Ρ А D 03/15/2007 (2) Stock 155.73 (3) Common 29 (1) \$ 52,395,053 06/01/2007 S D D (2) Stock 153.54 (3) Common 29 (1) 52,395,082 Ρ \$ 152.6 D 06/05/2007 A (2)(3) Stock Ρ \$150.4 06/08/2007 A D

Common Stock			50 <u>(1)</u> (2)			52,395,132 ( <u>3)</u>	
Common Stock	06/08/2007	Р	50 <u>(1)</u> (2)	А	\$ 150.43	52,395,182 ( <u>3)</u>	D
Common Stock	06/13/2007	S	50 <u>(1)</u> (2)	D	\$ 156.3	52,395,132 ( <u>3)</u>	D
Common Stock	06/13/2007	S	50 <u>(1)</u> (2)	D	\$ 156.3	52,395,082 (3)	D
Common Stock	07/02/2007	Р	50 <u>(1)</u> (2)	А	\$ 164.58	52,395,132 ( <u>3)</u>	D
Common Stock	07/05/2007	S	50 <u>(1)</u> (2)	D	\$ 163.81	52,395,082 (3)	D
Common Stock	08/02/2007	Р	5 (1) (2)	А	\$ 158.58	52,395,087 (3)	D
Common Stock	08/10/2007	S	5 (1) (2)	D	\$ 153.6	52,395,082 (3)	D
Common Stock	08/27/2007	S	250 <u>(1)</u> (2)	D	\$ 157.32	52,394,832 (3)	D
Common Stock	08/27/2007	Р	250 <u>(1)</u> (2)	А	\$ 157.32	52,395,082 (3)	D
Common Stock	08/31/2007	S	900 <u>(1)</u> (2)	D	\$ 155.1	52,394,182 (3)	D
Common Stock	08/31/2007	Р	900 <u>(1)</u> (2)	А	\$ 155.1	52,395,082 (3)	D
Common Stock	10/23/2007	Р	150 <u>(1)</u> (2)	А	\$ 190.25	52,395,232 ( <u>3)</u>	D
Common Stock	10/24/2007	Р	50 <u>(1)</u> (2)	А	\$ 186.6	52,395,282 ( <u>3)</u>	D
Common Stock	10/25/2007	S	100 <u>(1)</u> (2)	D	\$ 195.28	52,395,182 ( <u>3)</u>	D
Common Stock	10/25/2007	S	100 <u>(1)</u> (2)	D	\$ 195.83	52,395,082 ( <u>3)</u>	D
Common Stock	10/25/2007	S	50 <u>(1)</u> (2)	D	\$ 195.28	52,395,032 ( <u>3)</u>	D
Common Stock	10/25/2007	S	50 <u>(1)</u> (2)	D	\$ 195	52,394,982 ( <u>3)</u>	D
Common Stock	10/25/2007	Р	100 <u>(1)</u> (2)	А	\$ 192.95	52,395,082 ( <u>3)</u>	D
Common Stock	11/16/2007	Р	25 <u>(1)</u> (2)	А	\$ 193.2	52,395,107 ( <u>3)</u>	D
	11/19/2007	S		D	\$ 185.9		D

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Common Stock			25 (1) (2)		52,395,082 (3)	
Common Stock	11/19/2007	Р	$\frac{100}{(2)} \stackrel{(1)}{=} A$	\$ 184.39	52,395,182 ( <u>3)</u>	D
Common Stock	11/21/2007	S	$\frac{100}{(2)} \frac{(1)}{D}$ D	\$ 182.67	52,395,082 ( <u>3)</u>	D
Common Stock	12/06/2007	Р	$\frac{125}{(2)} \frac{(1)}{(2)}$ A	\$ 197.1	52,395,207 ( <u>3)</u>	D
Common Stock	12/06/2007	S	$\frac{125}{(2)} \frac{(1)}{D}$ D	\$ 207.32	52,395,082 ( <u>3)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

	Relationships				
<b>Reporting Owner Name / Address</b>	Director	10% Owner	Officer	Other	
MERRILL LYNCH & CO INC 4 WORLD FINANCIAL CENTER NEW YORK, NY 10080		Х			
MERRILL LYNCH INVESTMENT MANAGERS LP PO BOX 9011 PRINCETON, NJ 08543		Х			

MERRILL LYNCH PIERCE FEN 4 WORLD FINANCIAL CENTE NEW YORK, NY 10080		Х	
FUND ASSET MANAGEMENT 800 SCUDDERS MILL RD PLAINSBORO, NJ 08536	LP	Х	
PRINCETON ADMINISTRATO C/O MERRILL LYNCH ASSET PO BOX 9011 PRINCETON, NJ 08543		Х	
Signatures			
Merrill Lynch & Co., Inc. By: Ri	chard B. Alsop, Vice President		01/15/2008
	<u>**</u> Signature of Reporting Person		Date
Merrill Lynch Investment Manag Carlos M. Morales, Senior Vice P	ers, L.P. By Princeton Services Inc., resident	Its General Partner By:	01/15/2008
	**Signature of Reporting Person		Date
Merrill Lynch, Pierce, Fenner &	Smith., Inc. By: Carlos M. Morales, S	Senior Vice President	01/15/2008
	**Signature of Reporting Person		Date
Fund Asset Management, L.P. By Morales, Senior Vice President	Princeton Services Inc., Its General	Partner By: Carlos M.	01/15/2008
	**Signature of Reporting Person		Date
Princeton Administrators, L.P. By Morales, Senior Vice President	y Princeton Services Inc., Its General	Partner By: Carlos M.	01/15/2008
	**Signature of Reporting Person		Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction reflects a transaction executed by Merrill Lynch, Pierce, Fenner & Smith Incorporated ("MLPFS"), a wholly-owned subsidiary of Merrill Lynch & Co., Inc. (MLCO), to correct errors made in connection with trades in Issuer's common stock.

The Reporting Person disclaims beneficial ownership of these securities, except to the extent of its pecuniary interest therein. In connection with all of the transactions reported on this form, the Reporting Person has agreed to voluntarily remit appropriate profits, if

- (2) connection with an of the transactions reported on this form, the reporting retisting as agreed to voluntarily return appropriate profiles, in any, to BLK. The Reporting Person disclaims that the transactions reported on this Form are subject to reporting under Section 16(a) or disgorgement under Section 16(b), and this report shall not be deemed an admission that those sections apply to these transactions.
- (3) Merrill Lynch & Co., Inc. (MLCO) directly or indirectly through its wholly-owned subsidiaries, Merrill Lynch Investment Managers, L.P., Fund Asset Management, L.P. and Princeton Administrators, L.P. owns 52,395,082 shares of the Issuer's Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.