

GLU MOBILE INC
Form 4
December 03, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BALLARD GREGORY L

(Last) (First) (Middle)

**C/O GLU MOBILE INC., 1800
GATEWAY DRIVE, SECOND
FLOOR**

(Street)

SAN MATEO, CA 94404

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction
(Month/Day/Year)
11/29/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) ☐
President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☐ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	11/29/2007		S ⁽¹⁾		900	D \$ 5.24	194,752	I	Held by Trust ⁽²⁾
Common Stock	11/29/2007		S ⁽¹⁾		100	D \$ 5.22	194,652	I	Held by Trust ⁽²⁾
Common Stock	11/29/2007		S ⁽¹⁾		100	D \$ 5.26	194,552	I	Held by Trust ⁽²⁾
Common Stock	11/29/2007		S ⁽¹⁾		100	D \$ 5.21	194,452	I	Held by Trust ⁽²⁾
Common Stock	11/29/2007		S ⁽¹⁾		200	D \$ 5.18	194,252	I	Held by Trust ⁽²⁾

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Common Stock	11/29/2007	<u>S(1)</u>	200	D	\$ 5.27	194,052	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.31	193,952	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.48	193,852	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.46	193,752	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.53	193,652	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.67	193,552	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	200	D	\$ 5.72	193,352	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.8	193,252	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.83	193,152	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	150	D	\$ 5.89	193,002	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	300	D	\$ 5.97	192,702	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.62	192,602	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.98	192,502	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	200	D	\$ 5.99	192,302	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	200	D	\$ 5.96	192,102	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.95	192,002	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 6.08	191,902	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 6.05	191,802	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	200	D	\$ 6	191,602	I	Held by Trust <u>(2)</u>
Common Stock	11/29/2007	<u>S(1)</u>	100	D	\$ 5.9	191,502	I	Held by Trust <u>(2)</u>
	11/30/2007	<u>S(1)</u>	200	D		191,302	I	

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Common Stock					\$ 5.88			Held by Trust ⁽²⁾
Common Stock	11/30/2007	S ⁽¹⁾	100	D	\$ 5.82	191,202	I	Held by Trust ⁽²⁾
Common Stock	11/30/2007	S ⁽¹⁾	100	D	\$ 5.8	191,102	I	Held by Trust ⁽²⁾
Common Stock	11/30/2007	S ⁽¹⁾	100	D	\$ 5.72	191,002	I	Held by Trust ⁽²⁾
Common Stock	11/30/2007	S ⁽¹⁾	100	D	\$ 5.71	190,902	I	Held by Trust ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BALLARD GREGORY L C/O GLU MOBILE INC. 1800 GATEWAY DRIVE, SECOND FLOOR SAN MATEO, CA 94404	X		President and CEO	

Signatures

/s/ L. Gregory Ballard by Kevin Chou,
Attorney-in-Fact

12/03/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a 10b5-1 Trading Plan.
- (2) These securities are held by L. Gregory Ballard and Lucy H. Ballard Revocable Trust U/A/D October 26, 1996, of which Mr. Ballard is a Co-Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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