VICOR CORP Form 4 June 22, 2015

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Middle)

(Print or Type Responses)

1. Name and Address of Reporting Person \* ANDERSON SAMUEL J

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

VICOR CORP [vicr]

(Check all applicable)

(First) (Last)

3. Date of Earliest Transaction

(Month/Day/Year)

X Director 10% Owner Officer (give title Other (specify below)

06/19/2015

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ANDOVER, MA 01810

Security

(Instr. 3)

25 FRONTAGE ROAD

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Street)

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned Ownership (Instr. 4) (Instr. 4)

Following Reported Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise Code (Instr. 3) Price of (Month/Day/Year) (Instr. 8)

5. Number 6. Date Exercisable and Transaction Derivative **Expiration Date** Securities (Month/Day/Year) Acquired

7. Title and Amount of 8. **Underlying Securities** (Instr. 3 and 4) Se (I)

(9-02)

Edgar Filing: VICOR CORP - Form 4

|                                     | Derivative<br>Security |            |      |   | (A) or Disposed of (D) (Instr. 3, 4, and 5) |     |                     |                    |                 |  |
|-------------------------------------|------------------------|------------|------|---|---|-----|---------------------|--------------------|-----------------|--|
|                                     |                        |            | Code | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Non<br>Qualified<br>Stock<br>Option | \$ 13.42               | 06/19/2015 | A    |   | 3,726                                       |     | <u>(1)</u>          | 06/19/2025         | Common<br>Stock | 3,726                                  |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| 1 8                            | Director      | 10% Owner | Officer | Other |  |  |  |
| ANDERSON SAMUEL J              |               |           |         |       |  |  |  |
| 25 FRONTAGE ROAD               | X             |           |         |       |  |  |  |
| ANDOVER MA 01810               |               |           |         |       |  |  |  |

### **Signatures**

/s/Kemble D. Morrison Attorney in fact for Samuel J.
Anderson

06/22/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2