NOMURA HOLDINGS INC Form 6-K August 01, 2018 Table of Contents

# FORM 6-K

## **U.S. SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

**Commission File Number: 1-15270** 

For the month of August 2018

#### NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

## Japan

#### (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F <u>X</u> Form 40-F \_\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): \_\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): \_\_\_\_\_

Information furnished on this form:

# EXHIBIT

Exhibit Number

1. Nomura Announces Status of Share Buyback Program from Market

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

#### NOMURA HOLDINGS, INC.

Date: August 1, 2018

By: /s/ Hajime Ikeda Hajime Ikeda Senior Managing Director

#### Nomura Announces Status of Share Buyback Program from Market

**Tokyo, August 1, 2018** Nomura Holdings, Inc. today announced the status of its ongoing share buyback program resolved at a meeting of the Board of Directors held on April 26, 2018, pursuant to the company s articles of incorporation set out in accordance with Article 459-1 of the Companies Act of Japan.

#### **Details of share buyback**

Type of shares
 Number of shares repurchased
 Aggregate purchase amount
 Purchase period
 Method of repurchase
 (Reference)

Nomura Holdings common shares 0 shares 0 yen July 1, 2018, to July 31, 2018 Purchase on the stock exchange via trust bank

#### Share buyback program resolution at Board of Directors meeting on April 26, 2018

<ol> <li>Type of shares</li> <li>Total shares authorized for repurchase</li> </ol>	Nomura Holdings common shares Up to 100 million shares	
<ol> <li>Total value of shares authorized for repurchase</li> <li>Period</li> </ol>	<ul><li>(2.7% of outstanding shares)</li><li>Up to 70 billion yen</li><li>May 16, 2018, to March 29, 2019</li></ul>	
5. Method of repurchase	(excluding the ten business days following the announcement of quarterly operating results) Purchase on the stock exchange via trust bank	
	(The details of the trust agreement, including the timing to start the buyback, will be decided separately by a Representative Executive Officer or the CFO)	
The accumulative number of shares purchased as of July 31, 2018, pursuant to the Board of Directors resolution above:		

1. Number of shares repurchased	0 shares
2. Aggregate purchase amount	0 yen

ends

# Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

# For further information please contact:

Name Kenji Yamashita **Company** Nomura Holdings, Inc. **Telephone** 81-3-3278-0591

Group Corporate Communications Dept.

## Nomura

Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Retail, Asset Management, Wholesale (Global Markets and Investment Banking), and Merchant Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit <u>www.nomura.com</u>