

MKS INSTRUMENTS INC  
Form SD  
May 30, 2018

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM SD**

**SPECIALIZED DISCLOSURE REPORT**

**MKS Instruments, Inc.**

**(Exact name of the registrant as specified in its charter)**

|   |   |   |
|---|---|---|
| <b>Massachusetts</b><br><b>(State or other jurisdiction of</b>  | <b>0-23621</b><br><b>(Commission</b>    | <b>04-2277512</b><br><b>(IRS Employer</b> |
| <b>incorporation or organization)</b>   | <b>File Number)</b>                     | <b>Identification No.)</b>                |
| <b>2 Tech Drive, Suite 201, Andover, Massachusetts</b><br><b>(Address of principle executive offices)</b> | <b>Kathleen F. Burke (978) 645-5574</b> | <b>01810</b><br><b>(Zip code)</b>         |

**(Name and telephone number, including area code, of the person to contact in connection with this report.)**

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Check the appropriate box to indicate the rule pursuant to which this form is being filed and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2017.

## Section 1 - Conflict Minerals Disclosure

### Item 1.01 - Conflict Minerals Disclosure and Report

#### Conflict Minerals Disclosure

MKS Instruments, Inc. ( MKS or the Company ) has determined that gold, columbite-tantalite (coltan), cassiterite and wolframite, including their derivatives, tantalum, tin and tungsten ( Conflict Minerals ) are necessary to the functionality or production of products manufactured or contracted to be manufactured by MKS.

MKS conducted in good faith a country of origin inquiry regarding Conflict Minerals in its products which was reasonably designed to determine if any of the Conflict Minerals originated in the Democratic Republic of the Congo or an adjoining country ( Covered Countries ), or from recycled or scrap sources.

MKS has determined that it is required to file, and has filed, a Conflict Minerals Report describing its reasonable country of origin inquiry and due diligence efforts on the source and chain of custody of the Conflict Minerals in its products. The Conflict Minerals Report is provided as Exhibit 1.01 to this Form SD and is publicly available on MKS website at <http://www.mksinst.com/docs/ur/MKS-ConflictMineralsReport.pdf>

### Item 1.02 - Exhibit

MKS Conflict Minerals Report for the calendar year ended December 31, 2017 is filed as Exhibit 1.01 to this Form SD.

## Section 2 - Exhibits

### Item 2.01 Exhibits

#### Exhibit

| Number | Description   |
|--------|---|
| 1.01   | Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD |

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

**MKS INSTRUMENTS, INC.**

/s/ Seth H. Bagshaw  
Seth H. Bagshaw  
Sr. Vice President, Chief Financial Officer and  
Treasurer

May 30, 2018