

TD AMERITRADE HOLDING CORP

Form 4

May 30, 2007

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**RICKETTS THOMAS S**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**TD AMERITRADE HOLDING  
CORP [AMTD]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

4211 SOUTH 102ND STREET

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/25/2007

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

OMAHA, NE 68127

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/25/2007		J <sup>(1)</sup>		14,616	A	<u>(1)</u>	472,311	D	
Common Stock	05/25/2007		S <sup>(2)</sup>		695,355	D	\$ 19.055	2,581,150	I	By annuity trusts <sup>(3)</sup>
Common Stock	05/25/2007		J <sup>(4)</sup>		1,014,383	D	<u>(4)</u>	1,566,767	I	By annuity trusts <sup>(3)</sup>
Common Stock	05/25/2007		J <sup>(5)</sup>		58,464	D	<u>(5)</u>	1,508,303	I	By annuity trust <sup>(6)</sup>

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Common Stock	05/25/2007	J <sup>(7)</sup>	464,624	D	<u>(7)</u>	0	I	By trust (7)
Common Stock						26,600	I	By his children (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
RICKETTS THOMAS S 4211 SOUTH 102ND STREET OMAHA, NE 68127	X

## Signatures

/s/ Thomas S.  
Ricketts 05/30/2007

\_\_\_\_\_  
Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Transfer of shares for no consideration by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust to Mr. Ricketts, as a remainder beneficiary of such trust.
- (2) Sale of shares, for estate planning purposes, by Marlene M. Ricketts 2004-1 Qualified Annuity Trust to Marlene M. Ricketts, the grantor and a beneficiary of such trust.
- (3) Shares are held by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust and the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is Co-Trustee and Mrs. Ricketts is the grantor and a beneficiary.
- (4) Transfer of shares for no consideration by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust and the Marlene M. Ricketts 2004-2 Qualified Annuity Trust to Mrs. Ricketts, the grantor and a beneficiary of such trusts.
- (5) Transfer of shares for no consideration by the Marlene M. Ricketts 2004-1 Qualified Annuity Trust to the remainder beneficiaries of such trust.
- (6) Shares are held by the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is Co-Trustee and Mrs. Ricketts is the grantor and a beneficiary.
- (7) Transfer of shares upon termination of the Marlene M. Ricketts 1999 Irrevocable Trust f/b/o Thomas S. Ricketts of which trust Mr. Ricketts was trustee.
- (8) Shares are held by trusts created for Mr. Ricketts' children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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