Edgar Filing: MANNKIND CORP - Form 8-K

MANNKIND CORP Form 8-K October 11, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 10, 2017

MannKind Corporation

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of

000-50865 (Commission

13-3607736 (IRS Employer

incorporation or organization)

File Number)

Identification No.)

Edgar Filing: MANNKIND CORP - Form 8-K

30930 Russell Ranch Road, Suite 301

Westlake Village, California
91362
(Address of principal executive offices)
Registrant s telephone number, including area code: (818) 661-5000

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. of Form 8-K):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 2.02. Results of Operations and Financial Condition.

On October 10, 2017, we issued a press release announcing certain estimated financial results as of and for the three months ended September 30, 2017, in addition to a registered direct offering of our common stock. The financial results included in the press release are preliminary and unaudited and may differ from what will be reflected in our condensed financial statements as of and for the three months ended September 30, 2017. A copy of the press release is attached as Exhibit 99.1 to this Current Report and is incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit

Number Description

99.1 Press Release dated October 10, 2017

EXHIBIT INDEX

Exhibit

Number Description

99.1 <u>Press Release dated October 10, 2017</u>

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MANNKIND CORPORATION

Dated: October 11, 2017 By: /s/ David Thomson

Name: David Thomson, Ph.D., J.D.

Corporate Vice President, General Counsel and

Title: Secretary