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TRI-CONTINENTAL CORP

Form NSAR-A

August 28, 2017

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000 A000000 06/30/2017
000 C000000 0000099614
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
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008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
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012 B000001 84-05685
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013 A000001 PRICEWATERHOUSECOOPERS LLP
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013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
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014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 E010001 X
015 A000002 AB SEB BANKAS
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 B000026 S
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015 A000027 PT Bank HSBC Indonesia
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015 A000029 FIRSTRAND BANK LIMITED
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015 A000030 HSBC BANK (CHINA) COMPANY LIMITED
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015 C010038 DOHA
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015 A000041 HSBC BANK PLC
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015 D010050 NEW ZEALAND
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015 B000051 S
015 C010051 TAIPEI
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015 E040059 X
015 A000060 REPUBLIC BANK LIMITED
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015 D010060 TRINIDAD AND TOBAGO
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015 A000061 ROYAL BANK OF CANADA
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015 D010061 CANADA
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015 A000062 SANTANDER SECURITIES SERVICES, S.A.
015 B000062 S
015 C010062 MADRID

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015 D010062 SPAIN
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015 D010065 TANZANIA
015 E040065 X
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015 D010068 MALAWI
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015 A000069 STANDARD BANK NAMIBIA LIMITED
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015 C010069 WINDHOEK
015 D010069 NAMIBIA
015 E040069 X
015 A000070 STANDARD CHARTERED BANK
015 B000070 S
015 C010070 AMMAN
015 D010070 JORDAN
015 E040070 X
015 A000071 STANDARD CHARTERED BANK
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015 C010071 DHAKA
015 D010071 BANGLADESH
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PAGE 9
015 A000072 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000072 S
015 C010072 KARACHI
015 D010072 PAKISTAN
015 E040072 X
015 A000073 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 C010073 BANGKOK
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015 A000074 STANDARD CHARTERED BANK BOTSWANA LIMITED
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015 C010074 GABORONE
015 D010074 BOTSWANA

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015 E040074 X
015 A000075 STANDARD CHARTERED BANK COTE D'IVOIRE SA
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015 C010075 ABIDJAN
015 D010075 IVORY COAST
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015 A000076 STANDARD CHARTERED BANK GHANA LIMITED
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015 C010081 TALLINN
015 D010081 ESTONIA
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015 A000082 SWEDBANK AS
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015 C010082 RIGA
015 D010082 LATVIA
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015 B000083 S
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015 A000084 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
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015 D010084 MAURITIUS
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015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000085 S
015 C010085 TAGUIG CITY
015 D010085 PHILIPPINES
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 COLOMBO
015 D010086 SRI LANKA
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015 A000087 UBS Switzerland AG
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015 C010087 ZURICH
015 D010087 SWITZERLAND
015 E040087 X
015 A000088 UNICREDIT BANK AUSTRIA AG
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015 D010089 CZECH REPUBLIC
015 E040089 X
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015 D010090 SLOVAK REPUBLIC
015 E040090 X
015 A000091 UNICREDIT BANK SRBIJA A.D.
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015 D010091 SERBIA
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015 B000092 S
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015 D010092 SLOVENIA
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015 D010093 CHINA
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015 A000094 HSBC BANK PLC
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015 A000096 Kookmin Bank Co., LTD.
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019 C000000 COLUMBIAFD
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020 B000001 13-5108880
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020 A000002 BARCLAYS CAPITAL
020 B000002 13-3297824
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020 A000003 DEUTSCHE BANK SECURITIES INC.

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020 B000003 13-2730828
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020 A000004 RBC CAPITAL MARKETS CORPORATION
020 B000004 13-5033225
020 C000004 29
020 A000005 MORGAN STANLEY
020 B000005 13-2655998
020 C000005 27
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020 B000006 13-5659485
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020 A000007 UBS SECURITIES LLC
020 B000007 13-3873456
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020 A000008 J.P. MORGAN SECURITIES, INC.
020 B000008 13-3224016
020 C000008 19
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020 A000009 JEFFERIES & COMPANY, INC.
020 B000009 95-2622900
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020 A000010 WELLS FARGO INVESTMENTS, LLC
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070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		930993
071	B000000		962454
071	C000000		1535009
071	D000000		61
072	A000000	6	
072	B000000		11116
072	C000000		18071
072	D000000		0
072	E000000		0
	PAGE	17	
072	F000000		3185
072	G000000		0
072	H000000		0
072	I000000		297
072	J000000		13
072	K000000		49
072	L000000		0
072	M000000		38
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		21
072	S000000		13
072	T000000		0
072	U000000		0
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072	W000000		135
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072	Y000000		0
072	Z000000		25406
072AA	000000		127958
072BB	000000		0
072CC	010000		0
072CC	020000		34735
072DD	010000		28095
072DD	020000		0
072EE	000000		0
073	A010000		0.5016
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000

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074 A000000	8
074 B000000	0
074 C000000	0
074 D000000	384403
074 E000000	117844
074 F000000	1038140
074 G000000	0
074 H000000	0
074 I000000	35672
074 J000000	7074
074 K000000	0
074 L000000	7463
074 M000000	44
074 N000000	1590648
074 O000000	11064
074 P000000	187
074 Q000000	0
074 R010000	0
074 R020000	0
PAGE 18	
074 R030000	0
074 R040000	1300
074 S000000	0
074 T000000	1578097
074 U010000	55898
074 U020000	0
074 V010000	27.56
074 V020000	0.00
074 W000000	0.0000
074 X000000	12784
074 Y000000	438
075 A000000	0
075 B000000	1520228
076 000000	24.35
077 A000000	Y
077 B000000	N
077 C000000	Y
077 D000000	N
077 E000000	Y
077 F000000	N
077 G000000	N
077 H000000	N
077 I000000	N
077 J000000	N
077 K000000	N
077 L000000	N
077 M000000	N
077 N000000	N
077 O000000	N
077 P000000	N
077 Q010000	N
077 Q020000	N
077 Q030000	N
078 000000	N
080 C000000	0
081 B000000	0
082 B000000	0
083 B000000	0
084 B000000	0
086 A010000	52
086 A020000	1193
086 B010000	1257

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086 B020000 29322
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0

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087 A010000 Tri-Continental - Common Stock:
087 A020000 895436103
087 A030000 TY
087 B010000 Tri-Continental - Preferred Stock
087 B020000 895436202
087 C010000 Tri-Continental - Warrant
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE MICHAEL G. CLARKE
TITLE TREASURER