NOMURA HOLDINGS INC Form 6-K February 13, 2015 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of February 2015

# NOMURA HOLDINGS, INC.

 $(Translation \ of \ registrant \ \ s \ name \ into \ English)$ 

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

(Address of principal executive offices)			
Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.			
Form 20-F <u>X</u> Form 40-F			
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):			
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):			

Information furnished on this form:

#### **EXHIBIT**

#### Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from January 1, 2015 to January 31, 2015

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

#### NOMURA HOLDINGS, INC.

Date: February 13, 2015 By: /s/ Hajime Ikeda

Hajime Ikeda Managing Director

[Translation of Share Buyback Report for the reporting month from January 1, 2015 to January 31, 2015 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on February 13, 2015]

#### Class of Shares: Common Stock

#### 1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ( Board )

	as of January 31, 2015 Total Repurchase Number of Shares Amount (JPY)		
Authorization pursuant to the resolution of the Board (October 28, 2014) (Period of repurchase: from November 13, 2014 to January 16, 2015)		40,000,000	28,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date) Jan 5 Jan 6 Jan 7 Jan 8 Jan 9 Jan 13	1,151,800 1,490,000 1,748,000 982,600 1,042,000 2,258,000	782,570,020 988,461,180 1,158,847,180 651,154,290 674,651,750 1,437,567,930
Total		8,672,400	5,693,252,350
Aggregate shares repurchased as of the end of this reporting month Progress of share repurchase (%)		15,198,700 38.0	10,192,680,230 36.4

	as of January 31, 2015		
		Total Repurchase	
	Number of Shares	Amount (JPY)	
Authorization pursuant to the resolution of the Board			
(January 29, 2015)	40,000,000	30,000,000,000	

(Period of repurchase: from February 16, 2015 to March 27, 2015)			
Repurchases during this reporting month (Date of repurchase)	(Date)		
Total		0	0
Aggregate shares repurchased as of the end of this reporting month		0	0
Progress of share repurchase (%)		0.0	0.0

# 2. Status of disposition

	as of January 31, 2015  Number of shares disposed in the reporting Aggregate amount of month disposition (JPY)		
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	itti	disposition (SFT)
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-shares )	unit (Date)		
Subtotal			
Other (exercise of stock acquisition rights)	(Date) Jan 5 Jan 6 Jan 7 Jan 8 Jan 14 Jan 15 Jan 16 Jan 19 Jan 20 Jan 21 Jan 22 Jan 23 Jan 26 Jan 27 Jan 28 Jan 29 Jan 30	12,100 3,000 33,400 23,100 23,000 15,200 30,500 12,100 3,500 4,000 22,400 5,600 82,700 15,000 26,000 6,900 4,000	1,052,600 894,000 330,400 23,100 5,955,000 15,200 30,500 12,100 3,500 4,000 915,400 5,600 82,700 4,470,000 4,783,000 303,900 1,195,000
Subtotal		322,500	20,076,000
Total		322,500	20,076,000

# 3. Status of shares held in treasury

	as of January 31, 2015
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	185,787,397