

MARKETAXESS HOLDINGS INC  
Form 8-K  
March 14, 2012

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d) OF THE**  
**SECURITIES EXCHANGE ACT OF 1934**

**Date of Report (Date of earliest event reported): March 13, 2012**

**MarketAxess Holdings Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction)

**001-34091**  
(Commission)

**52-2230784**  
(IRS Employer)

Edgar Filing: MARKETAXESS HOLDINGS INC - Form 8-K

of incorporation)

File Number)

Identification No.)

**299 Park Avenue**

**New York, New York 10171**

(Address of principal executive offices, including zip code)

**Registrant's telephone number, including area code: (212) 813-6000**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On March 13, 2012, James J. Sullivan was elected to the Board of Directors of MarketAxess Holdings Inc. (the Company ).

There were no arrangements or understandings pursuant to which Mr. Sullivan was elected as a director of the Company. Mr. Sullivan does not have a family relationship with any director or executive officer of the Company. There were no transactions since the beginning of the Company's last fiscal year, or any currently proposed transaction, to which the Company or any of its subsidiaries was or is to be a party, in which the amount involved exceeds \$120,000 and in which Mr. Sullivan had, or will have, a direct or indirect material interest.

On March 14, 2012 the Company issued a press release announcing Mr. Sullivan's election, which is attached hereto as exhibit 99.1 and is incorporated by reference into this Item 5.02.

**Item 9.01 Financial Statements and Exhibits**

(d) Exhibits:

- 99.1 Press Release entitled "MarketAxess Appoints James Sullivan to Board of Directors" issued by MarketAxess Holdings Inc. on March 14, 2012.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MARKETAXESS HOLDINGS INC.

Date: March 14, 2012

By: /s/ Richard M. McVey  
Name: Richard M. McVey  
Title: Chief Executive Officer

**EXHIBIT INDEX**

**Exhibit**

99.1 Press Release entitled MarketAxess Appoints James Sullivan to Board of Directors issued by MarketAxess Holdings Inc. on March 14, 2012.