

GLAXOSMITHKLINE PLC

Form 6-K

November 12, 2014

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For period ending November 2014

GlaxoSmithKline plc  
(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or  
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F  Form 40-F

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Indicate by check mark whether the registrant by furnishing the  
information contained in this Form is also thereby furnishing the  
information to the Commission pursuant to Rule 12g3-2(b) under the  
Securities Exchange Act of 1934.

Yes No

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BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 12 November 2014

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	GlaxoSmithKline Share Option Plan - Ordinary Shares
Period of return:	From: 1 May 2014 To: 31 October 2014
Balance of unallotted securities under scheme(s) from previous return:	7,354,187
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	975,646
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	6,378,541

Name of contact:	Victoria Whyte
Telephone number of contact:	020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 12 November 2014

Name of applicant:	GlaxoSmithKline plc
Name of scheme:	GlaxoSmithKline Share Option Plan - ADS
Period of return:	From: 1 May 2014 To: 31 October 2014
Balance of unallotted securities under scheme(s) from previous return:	1,672,236
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	1,250,646
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	421,590

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 12 November 2014

Name of applicant:	GlaxoSmithKline plc		
Name of scheme:	Glaxo Wellcome UK Share Option Scheme		
Period of return:	From:	1 May 2014	To: 31 October 2014
Balance of unallotted securities under scheme(s) from previous return:	5,119,750		
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0		
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):	0		
Equals: Balance under scheme(s) not yet issued/allotted at end of period:	5,119,750		

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 12 November 2014

Name of applicant:	GlaxoSmithKline plc		
Name of scheme:	Glaxo Wellcome International Share Option Scheme		
Period of return:	From:	1 May 2014	To: 31 October 2014
Balance of unallotted securities under scheme(s) from previous return:	13,267,156		
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):	0		
	0		

Less: Number of securities issued/allotted under scheme(s)  
during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at  
end of period: 13,267,156

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

BLOCK

LISTING SIX MONTHLY RETURN

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Date: 12 November 2014

Name of applicant: GlaxoSmithKline plc  
Name of scheme: Glaxo Wellcome 1999 Share Option Plan  
Period of return: From: 1 May 2014 To: 31 October 2014  
Balance of unallotted securities under scheme(s) from  
previous return: 1,040,000  
Plus: The amount by which the block scheme(s) has been  
increased since the date of the last return (if any increase  
has been applied for): 0  
Less: Number of securities issued/allotted under scheme(s)  
during period (see LR3.5.7G): 0  
Equals: Balance under scheme(s) not yet issued/allotted at  
end of period: 1,040,000

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

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Date: 12 November 2014

Name of applicant: GlaxoSmithKline plc  
Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan -  
Approved - Ordinary Shares  
Period of return: From: 1 May 2014 To: 31 October 2014  
163,606

Balance of unallotted securities under scheme(s) from  
previous return:  
Plus: The amount by which the block scheme(s) has been  
increased since the date of the last return (if any increase 0  
has been applied for):  
Less: Number of securities issued/allotted under scheme(s) 0  
during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at 163,606  
end of period:

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

BLOCK LISTING SIX MONTHLY RETURN

Information provided on this form must be typed or printed electronically and provided to an ris.

Date: 12 November 2014

Name of applicant: GlaxoSmithKline plc  
Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan -  
Unapproved - ADS  
Period of return: From: 1 May 2014 To: 31 October 2014  
Balance of unallotted securities under scheme(s) from 275,420  
previous return:  
Plus: The amount by which the block scheme(s) has been  
increased since the date of the last return (if any increase 0  
has been applied for):  
Less: Number of securities issued/allotted under scheme(s) 0  
during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at 275,420  
end of period:

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

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Name of applicant: GlaxoSmithKline plc  
Name of scheme: SmithKline Beecham 1989 Executive Share Option Plan -  
Unapproved - Ordinary  
Period of return: From: 1 May 2014 To: 31 October 2014  
Balance of unallotted securities under scheme(s) from  
previous return: 100,000  
Plus: The amount by which the block scheme(s) has been  
increased since the date of the last return (if any increase 0  
has been applied for):  
Less: Number of securities issued/allotted under scheme(s) 0  
during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at  
end of period: 100,000

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

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Date: 12 November 2014

Name of applicant: GlaxoSmithKline plc  
Name of scheme: GlaxoSmithKline Savings Related Share Option Scheme  
Period of return: From: 1 May 2014 To: 31 October 2014  
Balance of unallotted securities under scheme(s) from  
previous return: 2,742,626  
Plus: The amount by which the block scheme(s) has been  
increased since the date of the last return (if any increase 0  
has been applied for):  
Less: Number of securities issued/allotted under scheme(s) 0  
during period (see LR3.5.7G):  
Equals: Balance under scheme(s) not yet issued/allotted at  
end of period: 2,742,626

Name of contact: Victoria Whyte  
Telephone number of contact: 020 8047 5000

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Date: 12 November 2014

Name of applicant:	GlaxoSmithKline plc		
Name of scheme:	GlaxoSmithKline plc Sharesave plan 2012		
Period of return:	From:	1 May 2014	To: 31 October 2014
Balance of unallotted securities under scheme(s) from previous return:		29,873	
Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any increase has been applied for):		250,000	
Less: Number of securities issued/allotted under scheme(s) during period (see LR3.5.7G):		90,584	
Equals: Balance under scheme(s) not yet issued/allotted at end of period:		189,289	

Name of contact:	Victoria Whyte
Telephone number of contact:	020 8047 5000

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc  
(Registrant)

Date: November 12, 2014

By: VICTORIA WHYTE  
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Victoria Whyte  
Authorised Signatory for and on  
behalf of GlaxoSmithKline plc