MOBLEY STACEY J

Form 4 April 26, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

(Instr. 3)

1. Name and Address of Reporting Person * MOBLEY STACEY J

(First) (Middle)

C/O HP INC., 1501 PAGE MILL ROAD

(Street)

2. Issuer Name and Ticker or Trading Symbol

HP INC [HPQ]

3. Date of Earliest Transaction

(Month/Day/Year) 04/24/2018

4. If Amendment, Date Original

3.

Filed(Month/Day/Year)

Director 10% Owner Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

below)

6. Individual or Joint/Group Filing(Check

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Amount of

Securities

Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

PALO ALTO, CA 94304

1.Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year)

(State)

(Zip)

Execution Date, if (Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

or

4. Securities

Owned Following Reported Transaction(s) (Instr. 3 and 4)

7. Nature of 6. Ownership Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 4) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

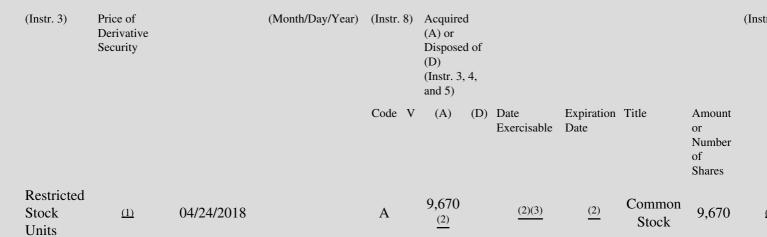
5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8. Pr **Underlying Securities** (Instr. 3 and 4)

Deri

Secu



Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MOBLEY STACEY J C/O HP INC. 1501 PAGE MILL ROAD PALO ALTO, CA 94304

Signatures

Katie Colendich as Attorney-in-Fact for Stacey Mobley

04/26/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of HP's common stock.
- (2) On April 24, 2018, the Reporting Person was granted 9,670 RSUs, all of which will cliff vest on the grant date.
- (3) The reporting person elected to defer the receipt of Common Stock until the termination of his service as a member of HP's Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. style="margin:0in 0in .0001pt;">

Not applicable.

Item 1.02.

Termination of a Material Definitive Agreement.

Reporting Owners 2

Not applicable.	
Item 1.03. Bankruptcy or Receivership.	
Not applicable.	
Section 2.	
Financial Information	
Item 2.01. Completion of Acquisition or Disposition of Assets.	
Not applicable.	

Item 2.02.
Results of Operations and Financial Condition.
Not applicable.
Item 2.03.
Creation of a Direct Financial Obligation or
an Obligation under an Off-Balance Sheet Arrangement of a Registrant.
an obligation under an on-Barance Sheet Attangement of a Registrant.
Not applicable.
Item 2.04.
Triggering Events that Accelerate or Increase a Direct Financial Obligation or
an Obligation under an Off-Balance Sheet Arrangement.
Not applicable.

Item 2.05.		
Costs Associated with Exit or Disposal Activities.		
Not applicable.		
Item 2.06.		
Material Impairments.		
Not applicable.		
••		
	2	

Section 3. **Securities and Trading Markets** Item 3.01. Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing. Not applicable. Item 3.02. Unregistered Sales of Equity Securities. Not applicable. Item 3.03. Material Modification to Rights of Security Holders. Not applicable. Section 4. Matters Relating to Accountants and Financial Statements Item 4.01. Changes in Registrant s Certifying Accountant. Not applicable. Non-Reliance on Previously Issued Financial Statements or Item 4.02. a Related Audit Report or Completed Interim Review. Not applicable. Section 5. **Corporate Governance and Management** Item 5.01. Changes in Control of Registrant. Not applicable. Item 5.02. Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers. Not applicable. Item 5.03. Amendments to Articles of Incorporation or Bylaws; Changes in Fiscal Year.

Temporary Suspension of Trading under Registrant s Employee Benefit Plans.

Not applicable.

Not applicable.

Item 5.04.

Item 5.05. <u>Amendments to Registrant</u> s Code of Ethics, or Waiver of a Provision of the Code of Ethics.

Not applicable.

Item 5.06. Change in Shell Company Status.

Not applicable.

Section 6. Asset-Backed Securities

Item 6.01. ABS Informational and Computational Material.

Not applicable.

Item 6.02. <u>Change of Servicer or Trustee.</u>

Not applicable.

Item 6.03. Change in Credit Enhancement or Other External Support.

Not applicable.

Item 6.04. <u>Failure to Make a Required Distribution.</u>

Not applicable.

Item 6.05. <u>Securities Act Updating Disclosure.</u>

Not applicable.

Section 7. Regulation FD

Item 7.01. <u>Regulation FD Disclosure.</u>

Not applicable.

4

Section 8. Other Events

Item 8.01. Other Events.

Section 303A.03 of the New York Stock Exchange Listed Company Manual requires, in part, that each listed company disclose in its annual proxy statement or annual report on Form 10-K: (i) the name of the non-management director who has been chosen to preside at all executive sessions of non-management directors, and (ii) a method for interested parties to communicate their concerns directly with the presiding director or with the non-management directors as a group.

Peter J. Tobin has been chosen to preside at all executive sessions of non-management and independent directors. Interested parties wishing to communicate directly with Mr. Tobin may send an e-mail, with confidential in the subject line, to *corporate_secretary@acml.com*. Upon receipt, our Corporate Secretary will promptly forward all such e-mails to Mr. Tobin. Interested parties may also address mail to Mr. Tobin in care of Corporate Secretary, Alliance Capital Management Corporation, 1345 Avenue of the Americas, New York, NY 10105, and the Corporate Secretary will promptly forward such mail to Mr. Tobin.

Alliance Capital Management Holding L.P. (Alliance Holding) did not disclose this information in its Form 10-K for the year ended December 31, 2004. However, Alliance Holding will disclose this information in its Form 10-K for the year ended December 31, 2005 and will post this information on its website (www.alliancecapital.com).

Section 9. Financial Statements and Exhibits

Section >.	I municial Statements and Emissis	
Item 9.01.	Financial Statements and Exhibits.	
	(a)	Financial statements of businesses acquired.
		None.
	(b)	Pro forma financial information.
		None.
	(c)	Shell company transactions.
		None.
	(d)	Exhibits.

5

None.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

ALLIANCE CAPITAL MANAGEMENT HOLDING L.P.

Dated: November 11, 2005

By: Alliance Capital Management

Corporation, General Partner

By: /s/ Adam R. Spilka

Adam R. Spilka Senior Vice President, Counsel and Secretary

6