

MAXLINEAR INC
Form 3
May 04, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|---|---|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Tewksbury Ted L III</p> <p>(Last) (First) (Middle)</p> <p>5966 LA PLACE COURT, SUITE 100</p> <p>(Street)</p> <p>CARLSBAD,Â CAÂ 92008</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>04/30/2015</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>MAXLINEAR INC [MXL]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|---|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|---|--|
| Class A Common Stock (par value \$0.0001) | 6,803 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|--|---|--|
|---|---|---|--|---|--|

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|-----------------------------|------------------|------------------|---|----------------------------|---------------------|----------------------------|---|
| Stock Option (right to buy) | 04/30/2015 | 11/20/2024 | Class A Common Stock (par value \$0.0001) | 35,822 | \$ 7.57 | D | Â |
| Stock Option (right to buy) | 04/30/2015 | 05/14/2024 | Class A Common Stock (par value \$0.0001) | 3,582 | \$ 8.74 | D | Â |
| Stock Option (right to buy) | 04/30/2015 | 05/14/2023 | Class A Common Stock (par value \$0.0001) | 3,582 | \$ 11.76 | D | Â |
| Stock Option (right to buy) | 04/30/2015 | 05/15/2022 | Class A Common Stock (par value \$0.0001) | 3,582 | \$ 11.09 | D | Â |
| Stock Option (right to buy) | 04/30/2015 | 05/19/2021 | Class A Common Stock (par value \$0.0001) | 3,582 | \$ 23.97 | D | Â |
| Stock Option (right to buy) | 04/30/2015 | 09/07/2020 | Class A Common Stock (par value \$0.0001) | 18,296 | \$ 23.17 | D | Â |
| Restricted Stock Units | 04/30/2015 | Â ⁽¹⁾ | Class A Common Stock (par value \$0.0001) | 5,722 | \$ 0 ⁽²⁾ | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Tewksbury Ted L III 5966 LA PLACE COURT, SUITE 100 CARLSBAD, CA 92008 | Â X | Â | Â | Â |

Signatures

/s/ Adam Spice, as
Attorney-in-Fact

05/04/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units became fully vested and exercisable on April 30, 2015. There is no expiration date.
 - (2) Each restricted stock unit represents a contingent right to receive one share of MaxLinear, Inc. Class A Common Stock.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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