

ExOne Co  
Form 4/A  
May 02, 2013

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROCKWELL S KENT

(Last) (First) (Middle)

127 INDUSTRY BOULEVARD

(Street)

NORTH HUNTINGDON, PA 15642

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ExOne Co [XONE]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/06/2013

4. If Amendment, Date Original Filed(Month/Day/Year)  
02/13/2013

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chair and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01	02/06/2013 <sup>(1)</sup>		C	A	1,366,694 <sup>(2)</sup> \$ 0	I	By S. Kent Rockwell Revocable Trust
Common Stock, par value \$0.01	02/06/2013 <sup>(1)</sup>		S	D	611,667 <sup>(2)</sup> \$ 16.74	I	By S. Kent Rockwell Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount
Class A Preferred Stock	\$ 0	02/06/2013		C <sup>(4)</sup>	12,983,602	01/01/2013	02/12/2013	Common Stock, par value \$0.01	1,300,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROCKWELL S KENT 127 INDUSTRY BOULEVARD NORTH HUNTINGDON, PA 15642	X		Chair and CEO	

## Signatures

/s/ JoEllen Lyons Dillon, attorney-in-fact for S. Kent Rockwell  
Date: 05/01/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Form 4 amends the Form 4 filed by Mr. Rockwell on February 13, 2013 to correct the transaction date.

S. Kent Rockwell is the beneficiary of the S. Kent Rockwell Revocable Trust, which is the indirect, sole stockholder of Rockwell Forest Products, Inc. and Rockwell Holdings, Inc. which are the record holders of the shares beneficially owned by Mr. Rockwell. This filing includes Rockwell Forest Products as the 10% holder of 4,176,000 shares. Mr. Rockwell disclaims beneficial ownership of the S. Kent Rockwell Revocable Trust, and this report shall not be deemed to be an admission that Mr. Rockwell is the beneficial owner of the securities owned by the S. Kent Rockwell Revocable Trust for purposes of Section 16 or for any other purpose.

S. Kent Rockwell is the beneficiary of the S. Kent Rockwell Revocable Trust, which is the indirect, sole stockholder of Rockwell Holdings, Inc. which was the record holder of the preferred shares that were converted into common shares and is the record holder of the resulting common shares. Mr. Rockwell disclaims beneficial ownership of the S. Kent Rockwell Revocable Trust, and this report shall not be deemed to be an admission that Mr. Rockwell is the beneficial owner of the securities owned by the S. Kent Rockwell Revocable Trust for purposes of Section 16 or for any other purpose.

(4) This Form 4 amends the Form 4 filed by Mr. Rockwell on February 13, 2013 to correct the transaction codes in columns 4 and 5 of Table II.

**Remarks:**

Exhibit List:

Exhibit 24 -Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.