

ENTERPRISE FINANCIAL SERVICES CORP

Form 5/A

March 21, 2003

SEC Form 5

FORM 5 ☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). ☐ Form 3 Holdings Reported ☐ Form 4 Transactions Reported	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940	OMB APPROVAL OMB Number: 3235-0362 Expires: January 31, 2005 Estimated average burden hours per response. . . . 1.0	
1. Name and Address of Reporting Person* Vogel, Paul L. (Last) (First) 150 North Meramec (Middle) Clayton, MO 63105 (Street) (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol Enterprise Financial Services Corp EFSC 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	4. Statement for Month/Year 12/2002 5. If Amendment, Date of Original (Month/Year) 02/13/2003	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director ☐ 10% Owner ☒ Officer (give title below) ☐ Other (specify below) Description President, EFA and Trust 7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				// \$	11,178	I	By Trust
Common Stock				// \$	9,107	I	EBSP III, LLC
Common Stock				// \$	2,027	I	Self- SEP/IRA
Common Stock				// \$	191	I	Spouse IRA

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date	3A. Deemed Execution	4. Transaction Code	5. Number of Derivative	6. Date Exercisable(DE) and	7. Title and Amount of Underlying	8. Price of Derivative	9. Number of Derivative Securities	10. Ownership

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	Date, if any (Month/Day/Year)	(Instr.8)	Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)	Expiration Date(ED) (Month/Day/Year)	Securities (Instr. 3 and 4)	Security (Instr.5)	Beneficially Owned at End of Year Reported Transaction(s) (Instr.4)	Form of Ownership (Instr.4)
					A or D	DE / ED	Title / Amount or Number of Shares			
Incentive Stock Option (right to buy)	\$11.75					/ 07/01/11	Common Stock / 5,000	\$	5,000	D
Incentvie Stock Option (right to buy)	\$15.00					/ 09/01/10	Common Stock / 5,000	\$	5,000	D
Non-Qualified Stock Option (right-to-buy)	\$10.00					/ 08/19/08	Common Stock / 54,000	\$	54,000	D
Incentive Stock Option (right to buy)	\$10.00					/ 08/28/12	Common Stock / 4,000	\$	4,000	I

Explanation of Responses:

By:

Date:

/s/ Paul L. Vogel by Power of Attorney

03/21/2003

** Signature of Reporting Person

SEC 2270 (09-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.