### WHITESIDES GEORGE M

Form 4 May 02, 2019

## FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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Estimated average

**OMB APPROVAL** 

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WHITESIDES GEORGE M

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol

Theravance Biopharma, Inc. [TBPH]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 04/30/2019

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title

C/O THERAVANCE BIOPHARMA US, INC., 901 GATEWAY

(State)

**BOULEVARD** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**SOUTH SAN** FRANCISCO, CA 94080

(City)	(State) (	Table Table	e I - Non-	-De	erivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	lly Owned
1.Title of	2. Transaction Date	Transaction Date 2A. Deemed			4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of				of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code					Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	()	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
								Following	(Instr. 4)	(Instr. 4)
					(A)			Reported Transaction(s)		
						or		(Instr. 3 and 4)		
			Code	V	Amount	(D)	Price	(mstr. 5 and 1)		
Ordinary	04/30/2019		A		8,385	Α	\$0	200,963	D	
Shares	04/30/2017		Λ		0,303	А	ψυ	200,703	Ъ	
Ordinary										By Family
•	01/02/2019		G `	V	21,012	D	\$0	27,650	I	•
Shares										Trust
Ordinary								4.000	т	D T .
Shares								4,000	1	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

### Edgar Filing: WHITESIDES GEORGE M - Form 4

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Share Option (Right to Buy)	\$ 23.85	04/30/2019		A	6,000	<u>(1)</u>	04/29/2029	Ordinary Shares	6,000

# **Reporting Owners**

#### Relationships

Reporting Owner Name / Address

Director  $\frac{10\%}{\text{Owner}}$  Officer Other

WHITESIDES GEORGE M C/O THERAVANCE BIOPHARMA US, INC. 901 GATEWAY BOULEVARD SOUTH SAN FRANCISCO, CA 94080



## **Signatures**

Brett A. Grimaud, Attorney-in-Fact 05/02/2019

\*\*Signature of Reporting Person Da

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option may be exercised and shall be vested as to 1/12th of the shares subject to this option when optionee completes each
  (1) continuous month of service following the grant date and any then remaining unvested shares shall vest on the date of the next annual meeting of the Company's shareholders provided the optionee remains in continuous service on such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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