

GLU MOBILE INC
Form 4
May 17, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ludwig Eric R

(Last) (First) (Middle)

C/O GLU MOBILE INC., 500
HOWARD STREET, SUITE 300

(Street)

SAN FRANCISCO, CA 94105

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction
(Month/Day/Year)
05/15/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, COO and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/15/2017		A		11,250	A	\$ 0 (1)
Common Stock	05/15/2017		F(2)		4,228	D	\$ 2.67
Common Stock	05/15/2017		A		5,000	A	\$ 0 (3)
Common Stock	05/15/2017		F(2)		1,880	D	\$ 2.67
Common Stock	05/15/2017		A		15,000	A	\$ 0 (4)

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Common Stock	05/15/2017	F ⁽²⁾	5,638	D	\$ 2.67	76,504	D	
Common Stock	05/15/2017	A	20,938	A	\$ 0 ⁽⁵⁾	97,442	D	
Common Stock	05/15/2017	F ⁽²⁾	7,869	D	\$ 2.67	89,573	D	
Common Stock	05/16/2017	G ⁽⁶⁾	32,573	D	\$ 0	57,000	D	
Common Stock	05/16/2017	G ⁽⁶⁾	32,573	A	\$ 0	271,199	I	Trust ⁽⁷⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Restricted Stock Units	<u>(8)</u>	05/15/2017		M ⁽¹⁾	11,250	<u>(9)</u> <u>(9)</u>	Common Stock	11,250
Restricted Stock Units	<u>(8)</u>	05/15/2017		M ⁽³⁾	5,000	<u>(10)</u> <u>(10)</u>	Common Stock	5,000
Restricted Stock Units	<u>(8)</u>	05/15/2017		M ⁽⁴⁾	15,000	<u>(11)</u> <u>(11)</u>	Common Stock	15,000
Restricted Stock Units	<u>(8)</u>	05/15/2017		M ⁽⁵⁾	20,938	<u>(12)</u> <u>(12)</u>	Common Stock	20,938

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First Vesting Date on the same day of each third month (e.g., the first quarterly vesting date was February 15, 2017, the next quarterly vesting date was May 15, 2017, etc.); provided, however, that if any portion of the RSU vests on a date that is a non-trading day on The NASDAQ Stock Market, then the RSU will vest on the next trading day.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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