#### PTC THERAPEUTICS, INC.

Form 4

September 26, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number:

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(City)

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kovacs Shane William Charles

2. Issuer Name and Ticker or Trading

Symbol

PTC THERAPEUTICS, INC.

[PTCT]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 09/26/2016

C/O PTC THERAPEUTICS. INC., 100 CORPORATE COURT

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6.

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SOUTH PLAINFIELD, NJ 07080

(State)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Zip)

Code (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

or

Price

Securities Beneficially Owned Following Reported Transaction(s)

5. Amount of

7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I)

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

(Instr. 4)

(Instr. 4)

(9-02)

(Instr. 3 and 4)

Code V Amount (D) Common 09/26/2016 D M 10,000 18,850 Stock 10.85

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: PTC THERAPEUTICS, INC. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		{ } { } { } { } { } { } { } { } { } { }
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 10.85	09/26/2016		M		10,000	<u>(1)</u>	05/21/2023	Common Stock	10,000	
Stock Option (Right to Buy)	\$ 27.05						(2)	01/27/2024	Common Stock	46,384	
Stock Option (Right to Buy)	\$ 51						<u>(3)</u>	01/01/2025	Common Stock	85,600	
Stock Option (Right to Buy)	\$ 30.86						<u>(4)</u>	01/03/2026	Common Stock	80,000	

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Kovacs Shane William Charles C/O PTC THERAPEUTICS, INC. 100 CORPORATE COURT SOUTH PLAINFIELD, NJ 07080

Chief Financial Officer

## **Signatures**

/s/ Colleen Diver Johnson, attorney-in-fact 09/26/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option award was granted on May 22, 2013, with 25% of the shares underlying the option vested on May 22, 2014, and an additional 2.083% of the original number of shares underlying the option vesting on a monthly basis thereafter, beginning on June 30, 2014.

Reporting Owners 2

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- This option award was granted on January 28, 2014, with 25% of the shares underlying the option vested on January 1, 2015, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 1, 2015.
- This option award was granted on January 2, 2015, with 25% of the shares underlying the option vesting on January 1, 2016, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 1, 2016.
- This option was granted on January 4, 2016, and vests over four years, with 25% of the shares underlying the option vesting on January 4, (4) 2017, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 4, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.