

Solera National Bancorp, Inc.  
Form 4/A  
March 26, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FENTON ROBERT J

2. Issuer Name and Ticker or Trading Symbol  
Solera National Bancorp, Inc.  
[OTCQB:SLRK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
319 S. SHERIDAN BLVD.  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/28/2014

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Chief Financial Officer

LAKESWOOD, CO 80226  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)  
02/28/2014

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.01 Par Value <sup>(5)</sup>	02/28/2014		M	7,500 A	\$ 3 51,875 <sup>(3)</sup>	D	
Common Stock, \$.01 Par Value <sup>(5)</sup>	02/28/2014		M	2,500 A	\$ 3.35 54,375 <sup>(4)</sup>	D	
Common Stock, \$.01 Par Value					250	I	By Son

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Common Stock, \$.01 Par Value	250	I	By Daughter
Common Stock, \$.01 Par Value	250	I	By Daughter
Common Stock, \$.01 Par Value	250	I	By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 3	02/28/2014		M	7,500	<u>(1)</u>	01/14/2021	Common Stock, \$.01 Par Value	7,500
Stock Option (Right to Buy)	\$ 3.35	02/28/2014		M	2,500	<u>(2)</u>	01/13/2022	Common Stock, \$.01 Par Value	2,500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FENTON ROBERT J 319 S. SHERIDAN BLVD.			EVP, Chief Financial Officer	

