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LIQUIDITY SERVICES INC Form 3 February 14, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> DOMINO KATHRYN ANN			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol LIQUIDITY SERVICES INC [LQDT]				
(Last)	(First)	(Middle)	02/05/2014	4. Relationship of Reporting Person(s) to Issuer		ŗ,	5. If Amendment, Date Original Filed(Month/Day/Year)	
C/O LIQUIDITY SERVICES, INC., 1920 L STREET, N.W., 6TH FLOOR (Street)				(Check all applicable) Director 10% Owner X Officer Other (give title below) (specify below) Chief Accounting Officer				
WASHINGTON, DC 20036								
(City)	(State)	(Zip)	Table I - I	Non-Deriva	tive Securiti	ies Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•	
Common Sto	ock		2,160		D	Â		
Reminder: Repo			ch class of securities benefic	ially S	SEC 1473 (7-02	2)		
	Perso infor requi	ons who respons who responstructure to response	pond to the collection of ained in this form are not nd unless the form displ MB control number.	t				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

	Exercisable	Date	The	Number of Shares	Security	or Indirect (I) (Instr. 5)	
Employee Stock Option	(1)	10/05/2017	Common Stock	4,757	\$ 13.48	D	Â
Employee Stock Option	(2)	12/29/2018	Common Stock	5,417	\$ 7.48	D	Â
Employee Stock Option	(<u>3)</u>	12/01/2019	Common Stock	5,626	\$ 9.05	D	Â
Employee Stock Grant	(4)	11/30/2020	Common Stock	1,000	\$ 15.47	D	Â
Employee Stock Grant	(5)	11/30/2020	Common Stock	2,765	\$ 15.47	D	Â
Employee Stock Option	(6)	11/30/2020	Common Stock	3,802	\$ 15.47	D	Â
Employee Stock Option	(7)	11/30/2020	Common Stock	1,198	\$ 15.47	D	Â
Employee Stock Grant	(8)	10/01/2021	Common Stock	1,533	\$ 31.37	D	Â
Employee Stock Option	(9)	11/22/2021	Common Stock	4,560	\$ 31.37	D	Â
Employee Stock Option	(10)	11/22/2021	Common Stock	750	\$ 31.37	D	Â
Employee Stock Grant	(11)	11/16/2022	Common Stock	1,518	\$ 38.09	D	Â
Employee Stock Grant	(12)	11/16/2022	Common Stock	2,024	\$ 38.09	D	Â
Employee Stock Grant	(13)	11/27/2023	Common Stock	3,500	\$ 21.99	D	Â
Employee Stock Grant	(14)	11/27/2023	Common Stock	3,500	\$ 21.99	D	Â
Employee Stock Option	(15)	11/27/2023	Common Stock	3,519	\$ 21.99	D	Â
Employee Stock Option	(16)	11/27/2023	Common Stock	3,519	\$ 21.99	D	Â

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Amount or Security

Direct (D)

Title

Date

Expiration

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
	Â	Â	Chief Accounting Officer	Â		

DOMINO KATHRYN ANN C/O LIQUIDITY SERVICES, INC. 1920 L STREET, N.W., 6TH FLOOR WASHINGTON, DCÂ 20036

Signatures

/s/ James E. Williams, by power of attorney

02/14/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options became fully vested on October 1, 2011.
- (2) These options became fully vested on October 1, 2012.
- (3) These options became fully vested on October 1, 2013.
- (4) Twenty-five percent of this restricted stock grant vested on October 1, 2011 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (5) These restricted shares will vest, if at all, based on the Issuer's achievement of certain financial milestones.
- (6) Twenty-five percent of this option grant vested on October 1, 2011 and thereafter 1/48th of the option grant will vest each month for thirty-six months.
- (7) These options became fully vested on December 1, 2011.
- (8) Twenty-five percent of this restricted stock grant vested on October 1, 2012 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (9) Twenty-five percent of this option grant vested on October 1, 2012 and thereafter 1/48th of the option grant will vest each month for thirty-six months.
- (10) These options became fully vested on December 1, 2012.
- (11) Twenty-five percent of this restricted stock grant vested on October 1, 2013 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (12) These restricted shares will vest, if at all, based on the Issuer's achievement of certain financial milestones.
- (13) Twenty-five percent of this restricted stock grant vested on October 1, 2014 and thereafter 1/4th of the restricted stock grant will vest on October 1 of each year for three years.
- (14) These restricted shares will vest, if at all, based on the Issuer's achievement of certain financial milestones.
- (15) Twenty-five percent of this option grant vested on October 1, 2014 and thereafter 1/48th of the option grant will vest each month for thirty-six months.
- (16) This option becomes exercisable, if at all, based on the Issuer's achievement of certain financial milestones.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.