Edgar Filing: Heritage-Crystal Clean, Inc. - Form 4

| Heritage-Cr Form 4 | rystal Clean, In | с. | | | | | | | | |
|---|-----------------------|--|--|----------------|--|--|---|--|---|-------------|
| January 06, | 2014 | | | | | | | | | |
| FORM | 1 4 | | CECU | | | | | | | PROVAL |
| UNITED STATES SECONTIES | | | | | AND EXCHANGE COMMISSION n, D.C. 20549 | | | OMB Number: | 3235-0287 | |
| Check this box if no longer | | | | | | | | | Expires: | January 31, |
| subject Section | to SIAI 16. | TATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES | | | | | VERSHIP OF | Estimated a burden hou | • | |
| Form 4 or Form 5 obligations may continue.responseSee Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | 0.5 | | |
| (Print or Type | Responses) | | | | | | | | | |
| Hillstrom Tom Symbol | | | uer Name and Ticker or Trading I 1. ge-Crystal Clean, Inc. [HCCI] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Chec. | | | | k all applicable) | | | |
| | | | n/Day/Year) /2014 | | | Director 10% Owner X_ Officer (give title Other (specify below) below) VP of Operations | | | | |
| | | | | onth/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| ELGIN, IL | 60123 | | | | | | | Form filed by M Person | | |
| (City) | (State) | (Zip) | Tab | le I - Non- | Derivativ | e Secu | rities Acqu | uired, Disposed of, | or Beneficial | ly Owned |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | | | | | (D) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Com | | | | Code V | Amount | (A) or (D) | Price \$ | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 01/02/2014 | | | S <u>(1)</u> | 3,282 | D | 20.0703 (2) | 15,767 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | | 4. Transacti Code | 5. orNumber of | 6. Date Exer Expiration D (Month/Day) | ate | 7. Titl Amou | | 8. Price of Derivative Security | 9. Nu Deriv Secur |
|---------------------------------------|---------------------------------|---|------------------|-------------------------|----------------------|---|--------------------|-----------------|------------------------------|---------------------------------------|-------------------------|
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | · · | i cui) | Secur | | (Instr. 5) | Bene |
| | Derivative | | | · · · · | Securities | | | (Instr. | . 3 and 4) | × , | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, 4, and 5) | | | | | | |
| | | | | | +, and <i>5</i>) | | | | | | |
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |
| _ | | | | | | | | | | | |

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Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|---|------------|---------------|------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Hillstrom Tom C/O HERITAGE-CRYSTAL CLEAN, IN 2175 POINT BOULEVARD, SUITE 375 ELGIN, IL 60123 | C. | | VP of Operations | | | | | |
| Signatures | | | | | | | | |
| /s/ Mark DeVita, as Attorney-in-Fact | 01/06/2014 | | | | | | | |

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 4, 2013.
- (2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.00 to \$20.34, inclusive. The reporting person undertakes to provide Heritage-Crystal Clean, Inc. any security holder of Heritage-Crystal Clean, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.