Donabauer Joseph M Form 4/A March 04, 2013

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

obligations

may continue.

See Instruction

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

Donabauer Joseph M

1. Name and Address of Reporting Person \*

Donucuuci vosepii ivi				HMS HOLDINGS CORP [HMSY]  3. Date of Earliest Transaction (Month/Day/Year) 02/27/2013					(Check all applicable)  Director 10% Owner Officer (give titleX Other (specify below)  VP and Controller			
(Last) (First) (Middle) 401 PARK AVENUE SOUTH												
	(Street)  NEW YORK, NY 10016  (City) (State) (Zip)			4. If Amendment, Date Original Filed(Month/Day/Year) 03/01/2013					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	Table I - Non-Derivative Securities Acq					uired, Disposed of, or Beneficially Owned				
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	med on Date, if Day/Year)	n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
	Common Stock	02/27/2013			A	2,783	A	\$ 28.74	5,325 <u>(1)</u>	D		
	Common Stock	02/28/2013			M	6,483	A	\$ 12.61	11,808 (1)	D		
	Common Stock	02/28/2013			S	6,483	D	\$ 29.02	5,235 <u>(1)</u>	D		
	Common Stock	02/28/2013			M	1,322	A	\$ 19.77	6,647 <u>(1)</u>	D		
	Common Stock	02/28/2013			S	1,322	D	\$ 29.02	5,325 (1)	D		

**OMB APPROVAL** 

3235-0287

January 31,

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5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

#### Edgar Filing: Donabauer Joseph M - Form 4/A

Common Stock 02/28/2013 S 806 D \$ 29.02 4,519 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non Qualified Stock Option (Right to Buy)	\$ 12.61	02/28/2013		M	6,483	10/01/2009(2)	09/30/2016	Common Stock	6,483
Non Qualified Stock Option (Right to Buy)	\$ 19.77	02/28/2013		M	1,322	10/01/2010 <u>(3)</u>	09/30/2017	Common Stock	1,322

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Donabauer Joseph M

401 PARK AVENUE SOUTH VP and Controller NEW YORK, NY 10016

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## **Signatures**

/s/ Joseph M.
Donabauer
03/04/2013

\*\*Signature of Reporting Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,783 restricted stock units (RSUs) granted on February 27, 2013 which vest ratably over three years commencing on the first anniversary of the grant date. Also includes: (i) 560 RSUs grants on October 1, 2009 (ii) 259 RSUs granted on October 1, 2010, (ii) 599 RSUs granted on October 1, 2011 and (iii) 1,124 RSUs granted on October 5, 2012.
- Date shown is the date of grant. Fifty percent of these options vested annually in 1/3 increments over a period of three years commencing (2) on December 31, 2010. The remaining 50%, vested on December 31, 2012 based on the Issuer's achievement of certain pre-defined performance criteria and the Reporting Persons satisfaction of certain service conditions.
- (3) Date shown is the date of grant. These options vested annually in 1/3 increments over a period of three years commencing on December 31, 2010.
- (4) Only refers to the derivitive securities in this class.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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