#### HMS HOLDINGS CORP

Form 4

October 09, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Donabauer Joseph M

(First)

(Street)

(State)

10/05/2012

2. Issuer Name and Ticker or Trading Symbol

HMS HOLDINGS CORP [HMSY]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last)

(City)

Common

Stock

(Middle)

(Zip)

3. Date of Earliest Transaction

Director

10% Owner \_X\_ Other (specify

**401 PARK AVENUE SOUTH** 

(Month/Day/Year)

10/05/2012 below)

Officer (give title below)

VP and Controller

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10016

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

(A) Amount (D) Price

\$

Reported Transaction(s) (Instr. 3 and 4)

Code V 1,124 Α

(1)

 $4,152 \stackrel{(2)}{=} \stackrel{(3)}{=}$ (4) 27.79

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Non Qualified Stock Option (Right to Buy)	\$ 27.79	10/05/2012		A	10,015	10/05/2012 <sup>(5)</sup>	10/04/2019	Common Stock	10,01

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Donabauer Joseph M 401 PARK AVENUE SOUTH NEW YORK, NY 10016

VP and Controller

# **Signatures**

/s/ Joseph M. Donabauer 10/09/2012

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units (RSUs) which were granted on October 5, 2012 and vest annually in 1/3 increments over a period of three years commencing on December 31, 2013.
- These shares include 1,362 restricted stock units (RSUs) which were granted on October 1, 2011. Fifty percent of these options vest annually in 1/3 increments over a period of three years commencing on December 31, 2012. The remaining 50%, or 681 RSUs, vest on December 31, 2015 based on the Issuer's achievement of certain pre-defined performance criteria and the Reporting Persons satisfaction of certain service conditions.
- These shares include 790 restricted stock units (RSUs) which were granted on October 1, 2010. Fifty percent of these options vest annually in 1/3 increments over a period of three years commencing on December 31, 2011. The remaining 50%, or 474 RSUs, vest on December 31, 2015 based on the Issuer's achievement of certain pre-defined performance criteria and the Reporting Persons satisfaction of certain service conditions.
- These shares include 876 restricted stock units (RSUs) which were granted on October 1, 2010. Fifty percent of these options vest annually in 1/3 increments over a period of three years commencing on December 31, 2011. The remaining 50%, or 657 RSUs, vest on December 31, 2015 based on the Issuer's achievement of certain pre-defined performance criteria and the Reporting Persons satisfaction of certain service conditions.

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- (5) Date shown is the date of grant. These options vest annually in 1/3 increments over a period of three years commencing on December 31, 2013.
- (6) Only represents the derivitive securities in this class.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.