## Edgar Filing: TRI-CONTINENTAL CORP - Form 8-K

## TRI-CONTINENTAL CORP Form 8-K September 28, 2006

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): September 28, 2006

TRI-CONTINENTAL CORPORATION (Exact name of Registrant as specified in its charter)

Maryland 811-266 13-5441850
(State or other jurisdiction (Commission File Number) (I.R.S. Employer of incorporation) Identification No.)

100 Park Avenue,
New York, New York 10017
(Address of principal executive offices, zip code)

Registrant's telephone number, including area code (212) 850-1864

Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- $|\_|$  Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- $\mid$  | Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- |\_| Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- $|\_|$  Pre-commencement communications pursuant to Rule13e-4(c) under the Exchange Act (17CFR 240.13e-4(c))

SECTION 8 - OTHER EVENTS.

Item 8.01 Other Events.

Registrant is furnishing as Exhibit 99.1 the attached Press release dated September 28, 2006.

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the

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undersigned hereunto duly authorized.

TRI-CONTINENTAL CORPORATION

Date: September 28, 2006

By: /s/ Joseph D'Alessandro

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Joseph D'Alessandro Assistant Secretary