

WEST BANCORPORATION INC
 Form 5
 February 03, 2016

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
 Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol		5. Relationship of Reporting Person(s) to Issuer	
NELSON DAVID D			WEST BANCORPORATION INC [WTBA]		(Check all applicable)	
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)		<input checked="" type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
1601 22ND STREET			12/31/2015		<input checked="" type="checkbox"/> Officer (give title below)	<input type="checkbox"/> Other (specify below)
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)		CEO & PRESIDENT	
WEST DES MOINES, IA 50266					6. Individual or Joint/Group Reporting (check applicable line)	
(City)	(State)	(Zip)			<input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Amount or Price		
COMMON STOCK	02/25/2015	Â	L	283 A	\$ 17.69 (1)	77,439 D	Â
COMMON STOCK	03/25/2015	Â	G	2,999 D	\$ 0 (2)	74,440 D	Â
COMMON STOCK	05/20/2015	Â	P	310 A	\$ 18.61 (1)	74,750 D	Â

COMMON STOCK	08/03/2015	Â	G	1,097	D	\$ 0 ⁽³⁾	73,653	D	Â
COMMON STOCK	08/19/2015	Â	P	297	A	\$ 19.6 ⁽¹⁾	73,950	D	Â
COMMON STOCK	11/25/2015	Â	P	283	A	\$ 20.7 ⁽¹⁾	74,233	D	Â
COMMON STOCK	03/25/2015	Â	G	2,999	A	\$ 0 ⁽²⁾	16,706	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	08/03/2015	Â	G	1,097	A	\$ 0 ⁽³⁾	17,803	I	By Katherine A. Nelson Rev Trust
COMMON STOCK	Â	Â	Â	Â	Â	Â	18,852 ⁽⁴⁾ ⁽⁵⁾	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se O E Is Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NELSON DAVID D 1601 22ND STREET WEST DES MOINES, IA 50266	Â X	Â	Â CEO & PRESIDENT	Â

Signatures

Marie I. Roberts, By Power of
Attorney

02/03/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Share acquired pursuant to reinvestment of dividends received into purchases of new shares.
 - (2) 2,999 shares were transferred to the Katherine A. Nelson Revocable Trust.
 - (3) 1,097 shares were transferred to the Katherine A. Nelson Revocable Trust.
 - (4) 15,560 shares held in 401(k) Plan had previously been reported as direct holdings.
 - (5) 3,292 shares were acquired pursuant to purchases in the employee savings plan (401(k)) during the year 2015.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.