#### KETTIG DAVID T

Form 4/A

September 20, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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January 31, 2005

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KETTIG DAVID T

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

INDEPENDENCE HOLDING CO

[IHC]

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 08/07/2017

\_X\_\_ Director 10% Owner Other (specify

(Check all applicable)

X\_ Officer (give title below) below) COO & EVP

96 CUMMINGS POINT ROAD

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person 08/08/2017 Form filed by More than One Reporting Person

STAMFORD, CT 06902

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. TransactionNumber Code of

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

Deriva Securit

8. Price

#### Edgar Filing: KETTIG DAVID T - Form 4/A

(Instr. 3)	Price of Derivative Security	Derivative			r. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			(Instr. :				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy) (1)	\$ 9.99	08/07/2017		D			0	(2)	03/18/2018	Common Stock	27,500	\$ (
Stock Option (right to buy) (1)	\$ 9.09	08/07/2017		D			0	(2)	01/04/2019	Common Stock	38,800	\$ (

## **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			
KETTIG DAVID T 96 CUMMINGS POINT ROAD STAMFORD, CT 06902	X		COO & EVP				

# **Signatures**

/s/ David T.
Kettig

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transaction reported in the reporting person's Form 4 filed on August 8, 2017 was reported in error and should be disregarded in its entirety. As of the date of this report, the number of the reporting person's outstanding stock options under the 2006 Plan is as set forth in this report.
- (2) The option has fully vested.
- (3) Granted on March 19, 2008 under the Independence Holding Company 2006 Stock Incentive Plan ("2006 Plan").
- (4) Granted on January 5, 2010 under the 2006 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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