

CONSOLIDATED WATER CO LTD

Form 3/A

November 20, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

McTaggart Gregory S.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/01/2013

3. Issuer Name and Ticker or Trading Symbol

CONSOLIDATED WATER CO LTD [CWCO]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP of Cayman Operations

5. If Amendment, Date Original Filed(Month/Day/Year)

01/10/2013

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

PO BOX 1114

(Street)

GRAND

CAYMAN, KY1-1102

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

107,157 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: CONSOLIDATED WATER CO LTD - Form 3/A

				Shares		(I) (Instr. 5)	
Option (Right To Buy)	01/01/2011 ⁽²⁾	12/31/2013 ⁽²⁾	Common Stock	5,900 ⁽²⁾	\$ 30.48	D	Â
Option (Right To Buy)	03/19/2010 ⁽³⁾	03/18/2015 ⁽³⁾	Common Stock	13,305 ⁽³⁾	\$ 7.9	D	Â
Option (Right To Buy)	02/22/2012 ⁽⁴⁾	02/21/2017 ⁽⁴⁾	Common Stock	14,163 ⁽⁴⁾	\$ 10.68	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McTaggart Gregory S. PO BOX 1114 GRAND CAYMAN,Â E9Â KY1-1102	Â	Â	Â VP of Cayman Operations	Â

Signatures

/s/ Gregory S.
McTaggart

11/20/2015

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 4,000 shares not previously included in the Reporting Person's holdings reported on the Form 3 filed January 10, 2013, as amended on December 9, 2013. This amendment is being filed solely to correct such under-reporting error, and the change to this number is the only change being made to the Form 3, as previously amended, by this amendment.
- (2) The option vested as to 5,900 shares on January 1, 2011 and expires on December 31, 2013. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.
- (3) The option vested as to 4,435 shares on each of March 19, 2010, March 19, 2011 and March 19, 2012. The option expires as to 4,435 shares on each of March 18, 2013, March 18, 2014 and March 18, 2015. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.
- (4) The option vested as to 4,721 shares on February 22, 2012 and vests as to 4,721 shares on each of February 22, 2013 and February 22, 2014. The option expires as to 4,721 shares on each of February 21, 2015, February 21, 2016 and February 21, 2017. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.