Edgar Filing: CONSOLIDATED WATER CO LTD - Form 3/A

CONSOLIDATED WATER CO LTD

Form 3/A

December 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement CONSOLIDATED WATER CO LTD [CWCO] Pereira Gerard J. (Month/Day/Year) 01/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) PO BOX 1114 01/10/2013 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner _X_ Form filed by One Reporting _X__ Officer Other (give title below) (specify below) **GRAND** Form filed by More than One VP of Engineering & Technology CAYMAN, E9Â KY1-1102 Reporting Person (State) (City) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 9,965 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	ity Date		3. Title and Amount of Securities Underlying Derivative Security		5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Derivative	(Instr. 5)
	Dete Engainable Enginetics Dete	Title	Amount or Number of	Derivative	Security:	
	Date Exercisable Expiration Date			Security	Direct (D)	
					or Indirect	

Edgar Filing: CONSOLIDATED WATER CO LTD - Form 3/A

				Shares		(I) (Instr. 5)	
Option (Right To Buy)	01/01/2011(1)	01/01/2014(1)	Common Stock	4,425 <u>(1)</u>	\$ 30.48	D	Â
Option (Right To Buy)	03/19/2010(2)	03/19/2015(2)	Common Stock	11,775 (2)	\$ 7.9	D	Â
Option (Right To Buy)	02/22/2012(3)	02/22/2017(3)	Common Stock	14,163 (3)	\$ 10.68	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
Fg	Director 10% Owner		Officer	Other	
Pereira Gerard J. PO BOX 1114 GRAND CAYMAN, E9 KY1-1102	Â	Â	VP of Engineering & Technology	Â	

Signatures

/s/ Gerard J.
Pereira

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested as to 4,425 shares on January 1, 2011 and expires on January 1, 2014. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.
 - The option vested as to 3,925 shares on each of March 19, 2010, March 19, 2011 and March 19, 2012. The option expires as to 3,925 shares on each of March 19, 2013, March 19, 2014 and March 19, 2015. The exercise price of the option equals the market price of the
- (2) Company's common stock as of the close of business on the date of grant. The total number of shares underlying the option and the rate at which the option vests were previously overreported due to a scrivener's error. The changes to these numbers are the only changes being made to the original Form 3 by this amendment.
- The option vested as to 4,721 shares on February 22, 2012 and vests as to 4,721 shares on each of February 22, 2013 and February 22, (3) 2014. The option expires as to 4,721 shares on each of February 22, 2015, February 22, 2016 and February 22, 2017. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2