ENDOCYTE INC Form 4

September 04, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number: January 31,

OMB APPROVAL

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person $\overset{*}{\underline{\ }}$ Ritter Allen R | 2. Issuer Name and Ticker or Trading Symbol ENDOCYTE INC [ECYT] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | |
|--|--|--|--|--|
| (Last) (First) (Middle) | 3. Date of Earliest Transaction | (Chook an approach) | | |
| 3000 KENT AVE STE A1-100 | (Month/Day/Year) 08/30/2013 | Director 10% Owner Officer (give title Other (specify below) VP of Manufacturing/CMC | | |
| (Street) | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| WEST LAFAYETTE, IN 47906 | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |

| (City) | (State) (| Zip) Table | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | f, or Beneficial | y Owned |
|---------------------|---------------------|--------------------|-----------------------------------|---------------------|------------|--------------------|--------------------|------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securi | ties A | cquired | 5. Amount of | 6. Ownership | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transaction(A) or Disposed of (D) | | Securities | Form: Direct | Indirect | | |
| (Instr. 3) | | any | Code | (Instr. 3, 4 and 5) | | Beneficially | (D) or | Beneficial | |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned | Indirect (I) | Ownership |
| | | | | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | | (A) | | Reported | | |
| | | | | | or | | Transaction(s) | | |
| | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 08/30/2013 | | M | 6,000 | A | \$ 2.1 | 23,722 | D | |
| Common Stock (2) | 08/30/2013 | | S | 6,000 | D | \$ 14.36 (2) | 17,722 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|---------------------------------------|---|---|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) | \$ 2.1 | 08/30/2013 | | M | 6,000 | <u>(1)</u> | 02/01/2017 | Common Stock | 6,000 |

Reporting Owners

| | Relationships | | |
|--------------------------------|---------------|--|--|
| Reporting Owner Name / Address | • | | |

Director 10% Owner Officer Other

Ritter Allen R

3000 KENT AVE STE A1-100 WEST LAFAYETTE, IN 47906

VP of Manufacturing/CMC

Signatures

/s/ Michael A. Sherman, Attorney-in-Fact for Allen R. Ritter (power of attorney previously filed)

09/04/2013

8. l De Sec (In

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is fully vested and immediately exercisable.

Represents the sale of 6,000 shares in multiple transactions, ranging in price from \$14.26 to \$14.45 per share, resulting in a weighted

(2) average sale price per share of \$14.36. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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