#### Edgar Filing: OBUS NELSON - Form 4

OBUS NEL Form 4 January 19, <b>FORN</b> Check th if no lor subject to Section Form 4 Form 5 obligation may cor <i>See</i> Inst 1(b).	2011 <b>A 4</b> UNITED STATE to to 16. or Sited pursuant to Section 17(a) of the 30(h	Was DF CHAN Section 1 Public Ut	Shington GES IN SECUF 6(a) of th tility Hol	, D.C. 205 BENEFI RITIES ne Securitio	<b>49</b> C <b>IAI</b> es Ex pany	Cowr change Act of	NERSHIP OF e Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hou response	irs per
WYNNEF SMALL C. (Last) 450 SEVE 509	Address of Reporting Person <u>*</u> IELD PARTNERS AP VALUE LP (First) (Middle) NTH AVENUE, SUITE (Street)	Symbol Summer 3. Date of (Month/D 01/14/20 4. If Ame	r Infant, 1 f Earliest T Day/Year) 011	ate Original		3	<ul> <li>5. Relationship of Issuer</li> <li>(Check</li> <li>Director</li> <li>Officer (give below)</li> <li>6. Individual or Jo</li> <li>Applicable Line)</li> <li>Form filed by O</li> <li>X_ Form filed by M</li> </ul>	k all applicable title $\underline{-X}_{-}$ 109 title $\underline{-X}_{-}$ 0th below) int/Group Filin ne Reporting Pe	e) % Owner er (specify ng(Check rson
(City)	(State) (Zip)	<b>T</b> -11	- T - N T			• •	Person		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Dee (Month/Day/Year) Execution any		3. Transactic Code	4. Securitie on(A) or Disp (Instr. 3, 4	es Acq oosed o	uired of (D)	uired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
Common Stock, \$0.0001 par value per share	01/14/2011		Code V	Amount 83,666	(D) D	Price \$ 7.61	(Instr. 3 and 4) 435,308	D <u>(1)</u>	
Common Stock, \$0.0001 par value per share	01/14/2011		S	117,655	D	\$ 7.61	1,164,642	I	See Footnotes $\frac{(2)}{(6)} \frac{(3)}{(4)} \frac{(5)}{(5)}$
Common Stock,	01/14/2011		S	60,135	D	\$ 7.61	1,164,642	Ι	See Footnotes

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\$0.0001 par value per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
		10% Owner	Officer	Other		
WYNNEFIELD PARTNERS SMALL CAP VALUE LP 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х				
WYNNEFIELD PARTNERS SMALL CAP VALUE LP I 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х				
WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND LTD 450 SEVENTH AVE STE 509 NEW YORK, NY 10123		Х				
WYNNEFIELD CAPITAL MANAGEMENT LLC 450 SEVENTH AVE STE 509		Х				

NEW	YORK, NY 10123	
450 SE SUITE	NEFIELD CAPITAL INC EVENTH AVENUE E 509 YORK, NY 10123	Х
450 SE SUITE	INEL PARTNERSHIP II L P EVENTH AVENUE E 509 YORK, NY 10123	Х
450 SE SUITE	efield Capital, Inc. Profit Sharing Plan EVENTH AVENUE E 509 YORK, NY 10123	Х
450 SE SUITE	NELSON EVENTH AVENUE E 509 YORK, NY 10123	Х
450 SE SUITE	DES JOSHUA EVENTH AVENUE E 509 YORK, NY 10123	Х

# Signatures

WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. By: Wynnefield Capital Management, LLC General Partner, /s/ Nelson Obus, Managing Member	01/19/2011
**Signature of Reporting Person	Date
WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. I By: Wynnefield Capital Management, LLC General Partner, /s/ Nelson Obus, Managing Member	01/19/2011
**Signature of Reporting Person	Date
WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND, LTD. By: Wynnefield Capital, Inc., /s/ Nelson Obus, President	01/19/2011
**Signature of Reporting Person	Date
WYNNEFIELD CAPITAL MANAGEMENT, LLC, /s/ Nelson Obus, Managing Member	01/19/2011
**Signature of Reporting Person	Date
WYNNEFIELD CAPITAL, INC., /s/ Nelson Obus, President	01/19/2011
**Signature of Reporting Person	Date
CHANNEL PARTNERSHIP II, L.P., /s/ Nelson Obus, General Partner	01/19/2011
**Signature of Reporting Person	Date
WYNNEFIELD CAPITAL, INC. PROFIT SHARING PLAN, /s/ Nelson Obus, Authorized Signatory	01/19/2011
**Signature of Reporting Person	Date
/s/ Nelson Obus, Individually	01/19/2011
**Signature of Reporting Person	Date

/s/ Joshua Landes, Individually

\*\*Signature of Reporting Person

01/19/2011 Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On the date hereof, Wynnefield Partners Small Cap Value, L.P., (the "Reporting Person") directly beneficially owns 435,308 shares of common stock, par value \$.0001 per share ("Common Stock") of Summer Infant, Inc. (SUMR). Wynnefield Capital Management, LLC, as the sole general partner of the Reporting Person, has an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Wynnefield Capital Management, LLC, which maintains offices at the same address as the

Reporting Person directly beneficially owns. Wynnefield Capital Management, LLC, which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Mr. Obus and Mr. Landes, who maintain offices at the same address as the Reporting Person, are filing this Form jointly with the Reporting Person (see "Remarks")

On the date hereof, the Reporting Person has an indirect beneficial ownership interest in 603,486 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P. I, as members of a group under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Wynnefield Partners Small Cap Value, L.P. I, which maintains offices at the

(2) same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Wynnefield Capital Management, LLC, as the sole general partner of Wynnefield Partners Small Cap Value, L.P. I, has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value L.P. I directly beneficially owns. Mr. Obus and Mr. Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value L.P. I directly benefic

On the date hereof, the Reporting Person has an indirect beneficial ownership interest in 454,519 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd., as members of a group under Section 13(d) of the

(3) diffectly beneficially owned by wymerical small cap value Offshore Fund, Etd., as memoers of a group under section 15(d) of the Exchange Act. Wynnefield Small Cap Value Offshore Fund, Ltd., which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. (Continued in Footnote 4)

Wynnefield Capital, Inc. as the sole investment manager of Wynnefield Small Cap Value Offshore Fund, Ltd., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns.

(4) Wynnefield Capital, Inc., which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Mr. Obus and Mr. Landes, as principal executive officers of Wynnefield Capital, Inc., have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns.

On the date hereof, the Reporting Person has an indirect beneficial ownership interest in 40,000 shares of Common Stock, which are directly beneficially owned by Channel Partnership II, L.P., as members of a group under Section 13(d) of the Exchange. Channel

(5) Partnership II, L.P., which maintains offices at the same address as the Reporting Person, is filing this Form jointly with the Reporting Person. Mr. Obus, as the sole general partner of Channel Partnership II, L.P., has an indirect beneficial ownership interest in the shares of Common Stock that Channel Partnership II, L.P. directly beneficially owns.

On the date hereof, the Reporting Person has an indirect beneficial ownership interest in 66,637 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan, as members of a group under Section 13(d) of the Exchange Act. Wynnefield Capital, Inc. Profit Sharing Plan, which maintains offices at the same address as the Reporting Person, is filing this

(6) Act. Wymericial Capital, inc. Front Sharing Flah, which maintains onces at the same address as the Reporting Ferson, is fining this
 Form jointly with the Reporting Person. Mr. Obus has the power to vote and dispose of Wynnefield Capital, Inc. Profit Sharing Plan's investments in securities and has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital, Inc. Profit Sharing Plan directly beneficially owns.

#### **Remarks:**

Mr. Obus and Mr. Landes disclaim beneficial ownership of the securities described in this statement, except to the extent of their individual pecuniary interest in such securities. The filing of this statement shall not be deemed an admission that Mr. Obus and Mr. Landes are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owner of any securities specified in this statement

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.