

NEW YORK MORTGAGE TRUST INC  
Form 8-K  
November 01, 2006

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported):

November 1, 2006

**NEW YORK MORTGAGE TRUST, INC.**  
*(Exact name of Registrant as Specified in its Charter)*

Maryland  
*(State or Other Jurisdiction  
of Incorporation)*

001-32216  
*(Commission  
File Number)*

47-0934168  
*(IRS Employer  
Identification No.)*

1301 Avenue of the Americas, 7<sup>th</sup> Floor, New York, New York 10019  
*(Address of Principal Executive Offices)*

Registrant's telephone number, including area code

(212) 634-9400

N/A  
*(Former Name or Former Address, if Changed Since Last Report)*

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 5.02. Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers.**

In a press release issued on November 1, 2006, New York Mortgage Trust, Inc. (the "Company") announced the appointment of Steven R. Mumma, 47, to Chief Financial Officer on an interim basis effective November 3, 2006. Mr. Mumma succeeds Michael I. Wirth, whose resignation as the Company's Executive Vice President, Chief Financial Officer, Secretary and Treasurer effective November 3, 2006 was also announced in the November 1, 2006 press release.

Mr. Mumma has been the Company's Chief Operating Officer since November 2003 and the Company's Chief Investment Officer since July 2005.

The information included under the caption "Executive Officers" with respect to Mr. Mumma's biographical information and under the caption "Executive Compensation - Employee Agreements" with respect to the terms of Mr. Mumma's employment agreement, each as contained in the Company's definitive proxy statement filed with the Securities and Exchange Commission on April 26, 2006, is incorporated by reference herein.

A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

99.1 Press Release, dated November 1, 2006.

[signature on following page]

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**NEW YORK MORTGAGE TRUST, INC.**

November 1, 2006

/s/ Michael I. Wirth

---

Michael I. Wirth  
Chief Financial Officer

INDEX TO EXHIBITS

Exhibit Number	Description
99.1	Press Release dated November 1, 2006