

ALARIO RICHARD J
Form 4
May 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALARIO RICHARD J

2. Issuer Name and Ticker or Trading Symbol
KIRBY CORP [KEX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3103 NEWBERRY LANE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/30/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

SHREVEPORT, LA 71106

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(D)	Price		
Common Stock, par value \$.10 per share	04/30/2018		M		10,000	A	\$ 56.26	22,627	D
Common Stock, par value \$.10 per share	04/30/2018		M		6,000	A	\$ 62.48	28,627	D
Common Stock, par value \$.10 per share	04/30/2018		M		1,153	A	\$ 62.48	29,780	D

Common Stock, par value \$.10 per share	04/30/2018	S	17,153	D	\$ 85.9881 <u>(1)</u>	12,627	D
Common Stock, par value \$.10 per share	04/30/2018	A	2,357	A	\$ 0	14,984	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Director Stock Option (Right to Buy)	\$ 56.26	04/30/2018		M	10,000	05/27/2011	05/27/2021	Common Stock	10,000
Director Stock Option (Right to Buy)	\$ 62.48	04/30/2018		M	6,000	10/24/2012	04/24/2022	Common Stock	6,000
Director Stock Option (Right to Buy)	\$ 62.48	04/30/2018		M	1,153	03/31/2013 ⁽²⁾	04/24/2022	Common Stock	1,153

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Edgar Filing: ALARIO RICHARD J - Form 4

Director 10% Owner Officer Other

ALARIO RICHARD J
3103 NEWBERRY LANE
SHREVEPORT, LA 71106

X

Signatures

Ronald A Dragg, Agent and
Attorney-in-Fact

05/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported price is the weighted average sales price. The sales were at prices ranging from \$83.375 to \$87.00 per share. The reporting person will provide, upon request by the commission staff, full information regarding the number of shares sold at each separate price.
- (2) Option was exercisable 25% after the quarter ending 6/30/2012, 50% after 9/30/2012, 75% after 12/31/2012 and 100% at 3/31/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.