

Stewart Laura Lee
Form 4
August 01, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Stewart Laura Lee

2. Issuer Name and Ticker or Trading Symbol
Sound Financial Bancorp, Inc.
[SFBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2005 FIFTH AVE, SUITE 200
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/31/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President / CEO

SEATTLE, WA 98121

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	07/31/2017		M		1,879	A	\$ 8.41	35,139	D
Common Stock	07/31/2017		M		1,879	A	\$ 9.72	37,018	D
Common Stock	07/31/2017		M		1,748	A	\$ 8.49	38,766	D
Common Stock	07/31/2017		F		1,578	D	\$ 31	37,188	D
Common Stock								9,024	I
									By ESOP

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Common Stock 18,034 I By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.41	07/31/2017		M	1,879	<u>(1)</u> 01/27/2019	Common Stock	1,879
Stock Option (Right to Buy)	\$ 9.72	07/31/2017		M	1,879	<u>(1)</u> 01/27/2019	Common Stock	1,879
Stock Option (Right to Buy)	\$ 8.49	07/31/2017		M	1,748	<u>(2)</u> 02/28/2022	Common Stock	1,748
Stock Option (Right to Buy)	\$ 16.8					<u>(3)</u> 01/23/2024	Common Stock	22,895
Stock Option (Right to Buy)	\$ 18.36					<u>(4)</u> 01/28/2025	Common Stock	12,543
Stock Option (Right to Buy)	\$ 18.36					<u>(5)</u> 01/28/2025	Common Stock	8,502

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stewart Laura Lee 2005 FIFTH AVE, SUITE 200 SEATTLE, WA 98121			President / CEO	

Signatures

/s/ Matthew P. Deines,
attorney-in-fact

08/01/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in five equal annual installments beginning on January 27, 2010.
- (2) Options vest in five equal annual installments beginning on February 28, 2013.
- (3) Options vest in five equal annual installments beginning on January 23, 2015.
- (4) Options vest in three equal annual installments beginning on January 28, 2015.
- (5) Options vest in five equal annual installments beginning on January 28, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.