SIGMA DESIGNS INC Form 4/A

July 06, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue.

**SECURITIES** 

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* SOROS FUND MANAGEMENT LLC

(Last)

**FLOOR** 

1.Title of

Security

(Instr. 3)

Common

Stock, no

par value

per share

(First)

250 WEST 55TH STREET,, 38TH

(Middle)

2. Issuer Name and Ticker or Trading Symbol

SIGMA DESIGNS INC [SIGM]

3. Date of Earliest Transaction

(Month/Day/Year) 07/03/2017

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

07/05/2017

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director Officer (give title below)

10% Owner Other (specify

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10019

(City) (State) (Zip)

07/03/2017

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if any (Month/Day/Year)

3.

4. Securities Acquired (A) Transaction Disposed of (D)

Code (Instr. 3, 4 and 5) (Instr. 8)

(A) or Code V Amount

243,327

(2)

(D) Price

(1)

A

5.8274

Following Reported Transaction(s) (Instr. 3 and 4)

5. Amount of

Securities

Owned

Beneficially

or Indirect (I) (Instr. 4)

Ownership

Direct (D)

Form:

Ι

Ownership (Instr. 4)

Indirect

Beneficial

7. Nature of

P

4,848,653

See footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title at Amount of		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(monda, Day, Teal)	any (Month/Day/Year)	Code (Instr. 8)	of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Underlyin Securities (Instr. 3 a	ng s	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	nount umber uares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
SOROS FUND MANAGEMENT LLC 250 WEST 55TH STREET, 38TH FLOOR NEW YORK, NY 10019		X				
SOROS GEORGE 250 WEST 55TH STREET FLOOR 38 NEW YORK, NY 10019		X				
SOROS ROBERT 250 WEST 55TH STREET, FLOOR 38 NEW YORK, NY 10019		X				

## **Signatures**

/s/ Thomas O'Grady, as Assistant General Counsel	07/06/2017					
**Signature of Reporting Person	Date					
/s/ Thomas O'Grady, as Attorney-in-Fact for George						
Soros	07/06/2017					
**Signature of Reporting Person	Date					
/s/ Thomas O'Grady, as Attorney-in-Fact for Robert						
Soros	07/06/2017					
**Signature of Reporting Person	Date					

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The Form 4 filed on July 5, 2017, incorrectly reported the weighted average price for the purchase of these shares of common stock (the "Shares") of Sigma Designs, Inc. (the "Issuer") and the range of prices at which the Shares were purchased. The price reported in
- (1) Column 4 is the correct weighted average price. These Shares were purchased in multiple transactions at prices ranging from \$5.775 to \$5.85, inclusive. The reporting persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of Shares purchased at each separate price within the range set forth in this footnote.
- (2) These Shares of the Issuer were purchased for the account of Quantum Partners LP, a Cayman Islands exempted limited partnership ("Quantum Partners").
- Soros Fund Management LLC ("SFM LLC") serves as principal investment manager to Quantum Partners. As such, SFM LLC has been granted investment discretion over portfolio investments, including the Shares held for the account of Quantum Partners. George Soros serves as Chairman and Manager of SFM LLC and Robert Soros serves as Manager of SFM LLC.

#### **Remarks:**

The filing of this statement shall not be deemed an admission that any of the Reporting Persons are the beneficial owner of any Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.