**MARCUS CORP** Form 4 April 11, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

THE MARCUS

1. Name and Address of Reporting Person \* KISSINGER THOMAS F

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MARCUS CORP [MCS]

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 03/03/2017

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

Sr Exec VP, Gen Counsel & Secy

CORPORATION, 100 E. **WISCONSIN AVE., SUITE 1900** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53202

(City)	(State)	(Zip) Tal	ole I - Non-	-Derivative Se	curitie	es Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities oner Disposed of (Instr. 3, 4 and Amount	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/03/2017		I	6,409.044	D	\$ 30.85	278.26 (1)	I	By 401(k) Plan
Common Stock	04/07/2017		M	3,810	A	\$ 13.12	66,023	D	
Common Stock	04/07/2017		F	1,984	D	\$ 30.8	64,039	D	
Common Stock							503.107 (2)	I	By Plan (3)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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(In

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (granted 8/2/07)	\$ 20.4						<u>(4)</u>	08/02/2017	Common Stock	9,969
Stock Option (right to buy) (granted 7/29/08)	\$ 15.59						<u>(4)</u>	07/29/2018	Common Stock	13,512
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34						<u>(4)</u>	07/28/2019	Common Stock	13,179
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89						<u>(4)</u>	07/27/2020	Common Stock	14,077
Stock Option (right to buy)	\$ 10						<u>(4)</u>	07/26/2021	Common Stock	5,351

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(granted 7/26/11)								
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12	04/07/2017	M	3,810	<u>(4)</u>	07/31/2022	Common Stock	3,810
Stock Option (right to buy) (granted 7/30/13)	\$ 13.04				<u>(4)</u>	07/30/2023	Common Stock	22,750
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34				<u>(4)</u>	07/29/2024	Common Stock	17,900
Stock Option (right to buy) (granted 7/28/15)	\$ 20.26				<u>(4)</u>	07/28/2025	Common Stock	19,300
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68				<u>(4)</u>	03/01/2026	Common Stock	12,300
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2				<u>(4)</u>	02/28/2027	Common Stock	17,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
·	Director	10% Owner	Officer	Other			
KISSINGER THOMAS F			Sr Exec VP. Gen Counsel & Secv				

THE MARCUS CORPORATION
100 E. WISCONSIN AVE., SUITE 1900

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#### MILWAUKEE, WI 53202

### **Signatures**

/s/ Steven R. Barth, Attorney-in-Fact for Thomas F. Kissinger

04/11/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (2) Balance reflects the reporting person's holdings in The Marcus Corporation Dividend Reinvestment and Associate Stock Purchase Plan as of April 7, 2017.
- (3) By Dividend Reinvestment and Associate Stock Purchase Plan.
- (4) The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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