### Edgar Filing: FEDERATED NATIONAL HOLDING CO - Form 4

#### FEDERATED NATIONAL HOLDING CO

Form 4

March 11, 2015

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Symbol

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

5. Relationship of Reporting Person(s) to

Issuer

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. See Instruction

1. Name and Address of Reporting Person \*

1(b).

**DORF CARL** 

(Print or Type Responses)

			FEDERATED NATIONAL HOLDING CO [FNHC]			(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					X_ Director 10% Owner Officer (give title Other (specify		
14050 N.W. 14 STREET, SUITE 180			(Month/Day/Year) 03/10/2015					below)	below)	
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
SUNRISE, FL 33323								Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execution	emed ion Date, if n/Day/Year)	Code (Instr. 8)	4. Securi onAcquirec Disposec (Instr. 3,	d (A) o	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	03/10/2015			A	2,084	A	(1)	25,226 <u>(2)</u>	D	
Common Stock, par value \$0.01 per share								63,491	I	Held by Dorf Trust
Common Stock, par value \$0.01								59,624	I	Held by Carl Dorf Rollover

## Edgar Filing: FEDERATED NATIONAL HOLDING CO - Form 4

per share IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DenNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options (right to buy)	\$ 2.45					08/22/2012(3)	08/22/2021	Common Stock, par value \$0.01 per share	10,000	
Stock Options (right to buy)	\$ 4.4					04/06/2013(3)	04/06/2022	Common Stock, par value \$0.01 per share	15,000	

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			
DORF CARL							
14050 N.W. 14 STREET	X						
SUITE 180	Λ						
SUNRISE, FL 33323							

Reporting Owners 2

## **Signatures**

/s/ Carl Dorf 03/11/2015

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award pursuant to the Issuer's 2012 Stock Incentive Plan.
- (2) 2,381 restricted shares vested on 3/4/2015; therefore resulting from the vesting of 2,381 restricted shares and the grant of 2,084 restricted shares on 3/10/2015, a total of 15,511 of the Reporting Person's shares are subject to vesting under the Issuer's 2012 Stock Incentive Plan.
- (3) Stock options vest 33 1/3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3